

EMCORE CORP
Form 3
July 16, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---|--|--|---|---|
| <p>1. Name and Address of Reporting Person *</p> <p>Â Iannelli John M.</p> <p>(Last) (First) (Middle)</p> <p>C/O EMCORE CORPORATION,Â 2015 W. CHESTNUT STREET</p> <p>(Street)</p> <p>ALHAMBRA,Â CAÂ 91803</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>06/25/2007</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>EMCORE CORP [EMKR]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Chief Technology Officer</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|--|--|---|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 4,953 | D | Â |
| Common Stock | 2,989 ⁽¹⁾ | I | 401K Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security | 4. Conversion or Exercise | 5. Ownership Form of | 6. Nature of Indirect Beneficial Ownership |
|---|---|--|---------------------------|----------------------|--|
|---|---|--|---------------------------|----------------------|--|

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| | Date Exercisable | Expiration Date | (Instr. 4) Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | (Instr. 5) |
|--------------------------------------|------------------|-----------------|---------------------|----------------------------|------------------------------|--|------------|
| Employee Stock Option (right to buy) | Â (2) | 01/22/2013 | Common Stock | 40,000 | \$ 1.87 | D | Â |
| Employee Stock Option (right to buy) | Â (3) | 05/18/2014 | Common Stock | 6,000 | \$ 2.63 | D | Â |
| Employee Stock Option (right to buy) | Â (4) | 02/28/2015 | Common Stock | 11,000 | \$ 3 | D | Â |
| Employee Stock Option (right to buy) | Â (5) | 10/12/2015 | Common Stock | 18,000 | \$ 5.18 | D | Â |
| Employee Stock Option (right to buy) | 12/29/2005 | 12/29/2015 | Common Stock | 881 | \$ 7.32 | D | Â |
| Employee Stock Option (right to buy) | Â (6) | 03/10/2016 | Common Stock | 12,000 | \$ 7.95 | D | Â |
| Employee Stock Option (right to buy) | Â (7) | 04/05/2016 | Common Stock | 2,000 | \$ 9.75 | D | Â |
| Employee Stock Option (right to buy) | Â (8) | 03/29/2017 | Common Stock | 10,000 | \$ 4.98 | D | Â |
| Employee Stock Option (right to buy) | Â (9) | 06/08/2017 | Common Stock | 75,000 | \$ 4.95 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Iannelli John M. C/O EMCORE CORPORATION 2015 W. CHESTNUT STREET ALHAMBRA, CA 91803 | Â | Â | Â Chief Technology Officer | Â |

Signatures

/John M.
Iannelli/ 07/16/2007

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As of 07/13/2007, the most recent available information.
 - (2) 20% annually on the anniversary of grant beginning 01/22/2004

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- (3) 25% annually on the anniversary of grant beginning 05/18/2005
- (4) 25% annually on the anniversary of grant beginning 02/28/2006
- (5) 25% annually on the anniversary of grant beginning 10/12/2006
- (6) 25% annually on the anniversary of grant beginning 03/10/2007
- (7) 25% annually on the anniversary of grant beginning 04/05/2007
- (8) 25% annually on the anniversary of grant beginning 03/29/2008
- (9) 25% annually on the anniversary of grant beginning 06/08/2008

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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