### Edgar Filing: REINSURANCE GROUP OF AMERICA INC - Form 3

#### REINSURANCE GROUP OF AMERICA INC

Form 3

September 09, 2016

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

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January 31, 2005

0.5

Estimated average burden hours per

SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement REINSURANCE GROUP OF AMERICA INC [RGA]  **PORTER JONATHAN** (Month/Day/Year) 09/01/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 16600 SWINGLEY RIDGE (Check all applicable) **ROAD** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting EVP, Global Chief Risk Officer Person CHESTERFIELD, MOÂ 63017 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â 290 Common Stock D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4.<br>Conversion<br>or Exercise<br>Price of<br>Derivative | 5.<br>Ownership<br>Form of<br>Derivative<br>Security: | 6. Nature of Indirect<br>Beneficial<br>Ownership<br>(Instr. 5) |
|--|--|---|---|---|--|
|  |  | Title   | Security  | Direct (D)  |  |

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|   | Date<br>Exercisable | Expiration<br>Date |                 | Amount or<br>Number of<br>Shares |          | or Indirect (I) (Instr. 5) |   |
|---|---------------------|--------------------|-----------------|----------------------------------|----------|----------------------------|---|
| Stock Appreciation Right (right to purchase) 2015 | (1)                 | 03/06/2025         | Common<br>Stock | 475                              | \$ 90.06 | D                          | Â |
| Stock Appreciation Right (right to purchase) 2013 | (1)                 | 02/21/2023         | Common<br>Stock | 1,119                            | \$ 58.77 | D                          | Â |
| Stock Appreciation Right (right to purchase) 2012 | (1)                 | 02/28/2022         | Common<br>Stock | 1,017                            | \$ 56.65 | D                          | Â |
| Stock Appreciation Right (right to purchase) 2014 | (1)                 | 03/07/2024         | Common<br>Stock | 504                              | \$ 78.48 | D                          | Â |

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                                |       |  |
|--|---------------|-----------|--------------------------------|-------|--|
| 1  | Director      | 10% Owner | Officer                        | Other |  |
| PORTER JONATHAN<br>16600 SWINGLEY RIDGE ROAD<br>CHESTERFIELD, MO 63017 | Â             | Â         | EVP, Global Chief Risk Officer | Â     |  |

### **Signatures**

/s/ William L. Hutton, as
Attorney-in-Fact
09/09/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) SARS grants vest in four equal annual installments of 25%, on December 31 of the first four years after grant date.

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#### **Remarks:**

Exhibit List - Exhibit 24 - Power of Attorney for Jonathan Porter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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