

MKS INSTRUMENTS INC  
Form 4  
May 05, 2006

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
EMERSON ELECTRIC CO

2. Issuer Name and Ticker or Trading Symbol  
MKS INSTRUMENTS INC [MKSI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
8000 W. FLORISSANT AVE.  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
05/03/2006

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

ST LOUIS, MO 63136

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_\_ Form filed by One Reporting Person  
\_\_\_X\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	05/03/2006		S	40,119 D \$ 23.8872 (1) (2)	7,044,434	I	Through a subsidiary (3)
Common Stock	05/04/2006		S	44,379 D \$ 24.575 (4) (5)	7,000,055	I	Through a subsidiary (3)
Common Stock					1,065,182	D (6)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form**

SEC 1474 (9-02)

displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V (A) (D)		

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
EMERSON ELECTRIC CO 8000 W. FLORISSANT AVE. ST LOUIS, MO 63136		X		
ASTEAMERICA INC 5810 VAN ALLEN WAY CARLSBAD, CA 92008		X		

## Signatures

/s/ Harley M. Smith, Assistant Secretary for Emerson Electric Co. 05/05/2006  
 \*\*Signature of Reporting Person Date

/s/ Harley M. Smith, Secretary for Astec America Inc. 05/05/2006  
 \*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The sales were effected in multiple transactions, at varying prices, on May 3, 2006, as follows and as described in Footnote 2 below: 600 shares at \$23.48; 100 at \$23.49; 100 at \$23.50; 500 at \$23.62; 100 at \$23.63; 100 at \$23.64; 400 at \$23.67; 200 at \$23.68; 100 at \$23.69;

- (1) 200 at \$23.70; 100 at \$23.71; 100 at \$23.73; 500 at \$23.74; 200 at \$23.75; 200 at \$23.76; 700 at \$23.78; 518 at \$23.79; 800 at \$23.80; 1,000 at \$23.81; 1,900 at \$23.82; 900 at \$23.83; 1,700 at \$23.84; 1,360 at \$23.85; 3,900 at \$23.86; 2,600 at \$23.87; 2,884 at \$23.88; 1,300 at \$23.89; and 1,800 at \$23.90.
- (2)

## Edgar Filing: MKS INSTRUMENTS INC - Form 4

This footnote sets forth additional detail with respect to the transactions described in Footnote 1, as follows: 2,364 shares at \$23.91; 1,136 at \$23.92; 200 at \$23.93; 900 at \$23.94; 993 at \$23.95; 843 at \$23.96; 400 at \$23.97; 900 at \$23.98; 921 at \$23.99; 1,067 at \$24.00; 1,633 at \$24.01; 200 at \$24.02; 300 at \$24.03; 100 at \$24.04; 500 at \$24.05; 200 at \$24.06; 100 at \$24.07; 300 at \$24.08; 200 at \$24.09; 500 at \$24.10; 800 at \$24.11; 300 at \$24.12; 200 at \$24.13; and 200 at \$24.16. The weighted average sales price for these transactions was \$23.8872 per share.

- (3) The reported securities are owned directly by Astec America Inc. The Reporting Person is the ultimate parent company of Astec America Inc.

The sales were effected in multiple transactions, at varying prices, on May 4, 2006, as follows and as described in Footnote 5 below: 100 shares at \$24.20; 500 at \$24.23; 200 at \$24.24; 899 at \$24.25; 13 at \$24.26; 201 at \$24.27; 200 at \$24.28; 200 at \$24.29; 100 at \$24.30; (4) 387 at \$24.32; 400 at \$24.33; 100 at \$24.36; 199 at \$24.38; 200 at \$24.39; 300 at \$24.40; 800 at \$24.41; 100 at \$24.42; 700 at \$24.44; 190 at \$24.45; 290 at \$24.46; 792 at \$24.47; 1,000 at \$24.48; 1,700 at \$24.49; 1,108 at \$24.50; 800 at \$24.51; 1,100 at \$24.52; 2,000 at \$24.53; 699 at \$24.54; and 1,100 at \$24.55.

- This footnote sets forth additional detail with respect to the transactions described in Footnote 4, as follows: 600 shares at \$24.56; 1,701 at \$24.57; 2,700 at \$24.58; 2,100 at \$24.59; 700 at \$24.60; 500 at \$24.61; 800 at \$24.62; 1,500 at \$24.63; 300 at \$24.64; 1,500 at \$24.65; (5) 2,300 at \$24.66; 1,200 at \$24.67; 2,500 at \$24.68; 6,500 at \$24.69; 1,000 at \$24.70; 200 at \$24.71; 1,400 at \$24.73; and 500 at \$24.74. The weighted average sales price for these transactions was \$24.5750 per share.

- (6) The reported securities are owned directly by Emerson Electric Co.

### Remarks:

See Exhibit 99.1 - Joint Filer Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.