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TRI-CONTINENTAL CORP

Form NSAR-A

August 29, 2012

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000 A000000 06/30/2012  
000 C000000 0000099614  
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000 I000000 6.1  
000 J000000 A  
001 A000000 TRI-CONTINENTAL CORPORATION  
001 B000000 811-00266  
001 C000000 6126715682  
002 A000000 225 FRANKLIN STREET  
002 B000000 BOSTON  
002 C000000 MA  
002 D010000 02110  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC  
008 B000001 A  
008 C000001 801-25943  
008 D010001 BOSTON  
008 D020001 MA  
008 D030001 02110  
010 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC  
010 B000001 801-25943  
010 C010001 BOSTON  
010 C020001 MA  
010 C030001 02110  
012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.  
012 B000001 84-5685  
012 C010001 BOSTON  
012 C020001 MA  
012 C030001 02266-8081  
013 A000001 PRICEWATERHOUSECOOPERS LLP  
013 B010001 BOSTON  
013 B020001 MA  
013 B030001 22110  
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.  
014 B000001 8-25845  
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.  
014 B000002 8-42582  
014 A000003 AMERIPRISE FINANCIAL SERVICES, INC.  
014 B000003 8-16791  
014 A000004 RIVERSOURCE DISTRIBUTORS, INC.  
014 B000004 8-67196  
PAGE 2  
015 A000001 JPMORGAN CHASE BANK, N.A.  
015 B000001 C  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10004  
015 E010001 X

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015 A000002 AB SEB BANKAS  
015 B000002 S  
015 C010002 VILNIUS  
015 D010002 LITHUANIA  
015 E040002 X  
015 A000003 BANCO BCT, S.A.  
015 B000003 S  
015 C010003 SAN JOSE  
015 D010003 COSTA RICA  
015 E040003 X  
015 A000004 BANCO ITAU URUGUAY S.A.  
015 B000004 S  
015 C010004 MONTEVIDEO  
015 D010004 URUGUAY  
015 E040004 X  
015 A000005 BANCO NACIONAL DE MEXICO, S.A.  
015 B000005 S  
015 C010005 MEXICO CITY  
015 D010005 MEXICO  
015 E040005 X  
015 A000006 BANCO SANTANDER CHILE  
015 B000006 S  
015 C010006 SANTIAGO  
015 D010006 CHILE  
015 E040006 X  
015 A000007 BANK HANDLOWY W. WARSZAWIE S.A.  
015 B000007 S  
015 C010007 WARSAW  
015 D010007 POLAND  
015 E040007 X  
015 A000008 BANK LEUMI LE-ISRAEL B.M.  
015 B000008 S  
015 C010008 TEL AVIV  
015 D010008 ISRAEL  
015 E040008 X  
015 A000009 BANQUE INTERNATIONALE ARABE DE TUNISIE, S.A.  
015 B000009 S  
015 C010009 TUNIS CEDEX  
015 D010009 TUNISIA  
015 E040009 X  
015 A000010 BARCLAYS BANK OF ZIMBABWE LIMITED  
015 B000010 S  
015 C010010 HARARE  
015 D010010 ZIMBABWE  
015 E040010 X  
PAGE 3  
015 A000011 BNP PARIBAS SECURITIES SERVICES S.C.A.  
015 B000011 S  
015 C010011 BRUSSELS  
015 D010011 BELGIUM  
015 E040011 X  
015 A000012 BNP PARIBAS SECURITIES SERVICES S.C.A.  
015 B000012 S  
015 C010012 PANTIN  
015 D010012 FRANCE  
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015 A000013 BNP PARIBAS SECURITIES SERVICES S.C.A.  
015 B000013 S  
015 C010013 MILAN  
015 D010013 ITALY  
015 E040013 X  
015 A000014 BNP PARIBAS SECURITIES SERVICES S.C.A.

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015 B000014 S  
015 C010014 HESPERANGE  
015 D010014 LUXEMBOURG  
015 E040014 X  
015 A000015 BNP PARIBAS SECURITIES SERVICES S.C.A.  
015 B000015 S  
015 C010015 AMSTERDAM  
015 D010015 NETHERLANDS  
015 E040015 X  
015 A000016 BNP PARIBAS SECURITIES SERVICES S.C.A.  
015 B000016 S  
015 C010016 LISBON  
015 D010016 PORTUGAL  
015 E040016 X  
015 A000017 CANADIAN IMPERIAL BANK OF COMMERCE  
015 B000017 S  
015 C010017 TORONTO  
015 D010017 CANADA  
015 E040017 X  
015 A000018 CITIBANK A.S.  
015 B000018 S  
015 C010018 ISTANBUL  
015 D010018 TURKEY  
015 E040018 X  
015 A000019 CITIBANK DEL PERU S.A.  
015 B000019 S  
015 C010019 SAN ISIDRO  
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015 E040019 X  
015 A000020 CITIBANK, N.A.  
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015 A000021 CITIBANK, N.A.  
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015 B000021 S  
015 C010021 CARACAS  
015 D010021 VENEZUELA  
015 E040021 X  
015 A000022 DBS BANK LTD.  
015 B000022 S  
015 C010022 SINGAPORE  
015 D010022 SINGAPORE  
015 E040022 X  
015 A000023 DEUTSCHE BANK AG  
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015 C010023 ESCHBORN  
015 D010023 GERMANY  
015 E040023 X  
015 A000024 DEUTSCHE BANK AG  
015 B000024 S  
015 C010024 BUDAPEST  
015 D010024 HUNGARY  
015 E040024 X  
015 A000025 DEUTSCHE BANK AG  
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015 E040025 X  
015 A000026 DEUTSCHE BANK AG  
015 B000026 S

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015 C010026 LONDON  
015 D010026 UNITED KINGDOM  
015 E040026 X  
015 A000027 FIRSTRAND BANK LIMITED  
015 B000027 S  
015 C010027 JOHANNESBURG  
015 D010027 SOUTH AFRICA  
015 E040027 X  
015 A000028 HSBC BANK (CHINA) COMPANY LIMITED  
015 B000028 S  
015 C010028 SHANGHAI  
015 D010028 CHINA  
015 E040028 X  
015 A000029 HSBC BANK (VIETNAM) LTD.  
015 B000029 S  
015 C010029 HO CHI MINH CITY  
015 D010029 VIETNAM  
015 E040029 X  
015 A000030 HSBC BANK ARGENTINA S.A.  
015 B000030 S  
015 C010030 BUENOS AIRES  
015 D010030 ARGENTINA  
015 E040030 X  
015 A000031 HSBC BANK BERMUDA LIMITED  
015 B000031 S  
PAGE 5  
015 C010031 HAMILTON  
015 D010031 BERMUDA  
015 E040031 X  
015 A000032 HSBC BANK MALAYSIA BERHAD  
015 B000032 S  
015 C010032 KUALA LUMPUR  
015 D010032 MALAYSIA  
015 E040032 X  
015 A000033 HSBC BANK MALTA P.L.C.  
015 B000033 S  
015 C010033 QORMI  
015 D010033 MALTA  
015 E040033 X  
015 A000034 HSBC BANK MIDDLE EAST LIMITED  
015 B000034 S  
015 C010034 AL SEEF  
015 D010034 BAHRAIN  
015 E040034 X  
015 A000035 HSBC BANK MIDDLE EAST LIMITED  
015 B000035 S  
015 C010035 AMMAN  
015 D010035 JORDAN  
015 E040035 X  
015 A000036 HSBC BANK MIDDLE EAST LIMITED  
015 B000036 S  
015 C010036 SAFAT  
015 D010036 KUWAIT  
015 E040036 X  
015 A000037 HSBC BANK MIDDLE EAST LIMITED  
015 B000037 S  
015 C010037 BEIRUT  
015 D010037 LEBANON  
015 E040037 X  
015 A000038 HSBC BANK MIDDLE EAST LIMITED  
015 B000038 S  
015 C010038 RUWI

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015 D010038 OMAN  
015 E040038 X  
015 A000039 HSBC BANK MIDDLE EAST LIMITED  
015 B000039 S  
015 C010039 RAMALLAH  
015 D010039 PALESTINE TERRITORY  
015 E040039 X  
015 A000040 HSBC BANK MIDDLE EAST LIMITED  
015 B000040 S  
015 C010040 DOHA  
015 D010040 QATAR  
015 E040040 X  
015 A000041 HSBC BANK MIDDLE EAST LIMITED  
015 B000041 S  
015 C010041 DUBAI  
PAGE 6  
015 D010041 UAE-ADX  
015 E040041 X  
015 A000042 HSBC BANK MIDDLE EAST LIMITED  
015 B000042 S  
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015 E040042 X  
015 A000043 HSBC BANK MIDDLE EAST LIMITED  
015 B000043 S  
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015 E040043 X  
015 A000044 HSBC BANK PLC  
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015 A000045 HSBC SAUDI ARABIA LIMITED  
015 B000045 S  
015 C010045 RIYADH  
015 D010045 SAUDI ARABIA  
015 E040045 X  
015 A000046 ING BANK (EURASIA) ZAO  
015 B000046 S  
015 C010046 MOSCOW  
015 D010046 RUSSIA  
015 E040046 X  
015 A000047 ING BANK N.V.  
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015 C010047 SOFIA  
015 D010047 BULGARIA  
015 E040047 X  
015 A000048 ING BANK N.V.  
015 B000048 S  
015 C010048 BUCHAREST  
015 D010048 ROMANIA  
015 E040048 X  
015 A000049 ING BANK UKRAINE  
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015 A000050 ISLANDSBANKI HF.  
015 B000050 S  
015 C010050 REYKJAVIK  
015 D010050 ICELAND

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015 E040050 X  
015 A000051 J.P. MORGAN AG  
015 B000051 S  
015 C010051 FRANKFURT  
015 D010051 GERMANY  
PAGE 7  
015 E040051 X  
015 A000052 J.P. MORGAN BANK INTERNATIONAL  
015 B000052 S  
015 C010052 MOSCOW  
015 D010052 RUSSIA  
015 E040052 X  
015 A000053 J.P. MORGAN S.A. DTVM  
015 B000053 S  
015 C010053 SAO PAULO  
015 D010053 BRAZIL  
015 E040053 X  
015 A000054 JPMORGAN CHASE BANK, N.A.  
015 B000054 S  
015 C010054 SYDNEY  
015 D010054 AUSTRALIA  
015 E040054 X  
015 A000055 JPMORGAN CHASE BANK, N.A.  
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015 C010055 MUMBAI  
015 D010055 INDIA  
015 E040055 X  
015 A000056 JPMORGAN CHASE BANK, N.A.  
015 B000056 S  
015 C010056 WELLINGTON  
015 D010056 NEW ZEALAND  
015 E040056 X  
015 A000057 JPMORGAN CHASE BANK, N.A.  
015 B000057 S  
015 C010057 TAIPEI  
015 D010057 TAIWAN  
015 E040057 X  
015 A000058 JPMORGAN CHASE BANK, N.A.  
015 B000058 S  
015 C010058 LONDON  
015 D010058 UNITED KINGDOM  
015 E040058 X  
015 A000059 MIZUHO CORPORATE BANK, LIMITED  
015 B000059 S  
015 C010059 TOKYO  
015 D010059 JAPAN  
015 E040059 X  
015 A000060 NORDEA BANK AB  
015 B000060 S  
015 C010060 STOCKHOLM  
015 D010060 SWEDEN  
015 E040060 X  
015 A000061 NORDEA BANK DANMARK A/S  
015 B000061 S  
015 C010061 TAASTRUP  
015 D010061 DENMARK  
015 E040061 X  
PAGE 8  
015 A000062 NORDEA BANK FINLAND PLC  
015 B000062 S  
015 C010062 HELSINKI  
015 D010062 FINLAND

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015 E040062 X  
015 A000063 NORDEA BANK NORGE ASA  
015 B000063 S  
015 C010063 OSLO  
015 D010063 NORWAY  
015 E040063 X  
015 A000064 PRIVREDNA BANKA ZAGREB D.D.  
015 B000064 S  
015 C010064 ZAGREB  
015 D010064 CROATIA  
015 E040064 X  
015 A000065 REPUBLIC BANK LIMITED  
015 B000065 S  
015 C010065 PORT OF SPAIN  
015 D010065 TRINIDAD AND TOBAGO  
015 E040065 X  
015 A000066 ROYAL BANK OF CANADA  
015 B000066 S  
015 C010066 TORONTO  
015 D010066 CANADA  
015 E040066 X  
015 A000067 SANTANDER INVESTMENT TRUST COLUMBIA S.A.  
015 B000067 S  
015 C010067 BOGOTA  
015 D010067 COLUMBIA  
015 E040067 X  
015 A000068 SANTANDER INVESTMENT, S.A.  
015 B000068 S  
015 C010068 MADRID  
015 D010068 SPAIN  
015 E040068 X  
015 A000069 SB HSBC BANK KAZAKHSTAN JSC  
015 B000069 S  
015 C010069 ALMATY  
015 D010069 KAZAKHSTAN  
015 E040069 X  
015 A000070 SOCIETE GENERALE  
015 B000070 S  
015 C010070 PARIS  
015 D010070 FRANCE  
015 E040070 X  
015 A000071 SOCIETE GENERALE DE BANQUES EN COTE D'IVOIRE  
015 B000071 S  
015 C010071 ABIDJAN  
015 D010071 IVORY COAST  
015 E040071 X  
015 A000072 SOCIETE GENERALE MAROCAINE DE BANQUES  
PAGE 9  
015 B000072 S  
015 C010072 CASABLANCA  
015 D010072 MOROCCO  
015 E040072 X  
015 A000073 STANBIC BANK TANZANIA LIMITED  
015 B000073 S  
015 C010073 DAR ES SALAAM  
015 D010073 TANZANIA  
015 E040073 X  
015 A000074 STANBIC IBTC BANK PLC  
015 B000074 S  
015 C010074 LAGOS  
015 D010074 NIGERIA  
015 E040074 X

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015 A000075 STANDARD BANK LIMITED, MALAWI  
015 B000075 S  
015 C010075 BLANTYRE  
015 D010075 MALAWI  
015 E040075 X  
015 A000076 STANDARD BANK NAMIBIA LIMITED  
015 B000076 S  
015 C010076 WINDHOEK  
015 D010076 NAMIBIA  
015 E040076 X  
015 A000077 STANDARD CHARTERED BANK  
015 B000077 S  
015 C010077 DHAKA  
015 D010077 BANGLADESH  
015 E040077 X  
015 A000078 STANDARD CHARTERED BANK (PAKISTAN) LIMITED  
015 B000078 S  
015 C010078 KARACHI  
015 D010078 PAKISTAN  
015 E040078 X  
015 A000079 STANDARD CHARTERED BANK (THAI) PUBLIC CO. LTD  
015 B000079 S  
015 C010079 BANGKOK  
015 D010079 THAILAND  
015 E040079 X  
015 A000080 STANDARD CHARTERED BANK BOTSWANA LIMITED  
015 B000080 S  
015 C010080 GABORONE  
015 D010080 BOTSWANA  
015 E040080 X  
015 A000081 STANDARD CHARTERED BANK GHANA LIMITED  
015 B000081 S  
015 C010081 ACCRA  
015 D010081 GHANA  
015 E040081 X  
015 A000082 STANDARD CHARTERED BANK KENYA LIMITED  
015 B000082 S  
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015 C010082 NAIROBI  
015 D010082 KENYA  
015 E040082 X  
015 A000083 STANDARD CHARTERED BANK UGANDA LIMITED  
015 B000083 S  
015 C010083 KAMPALA  
015 D010083 UGANDA  
015 E040083 X  
015 A000084 STANDARD CHARTERED BANK ZAMBIA PLC  
015 B000084 S  
015 C010084 LUSAKA  
015 D010084 ZAMBIA  
015 E040084 X  
015 A000085 STANDARD CHARTERED FIRST BANK KOREA LIMITED  
015 B000085 S  
015 C010085 SEOUL  
015 D010085 SOUTH KOREA  
015 E040085 X  
015 A000086 SWEDBANK AS  
015 B000086 S  
015 C010086 TALLINN  
015 D010086 ESTONIA  
015 E040086 X  
015 A000087 SWEDBANK AS



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015 B000087 S  
015 C010087 RIGA  
015 D010087 LATVIA  
015 E040087 X  
015 A000088 THE BANK OF TOKYO-MITSUBISHI UFJ, LIMITED  
015 B000088 S  
015 C010088 TOKYO  
015 D010088 JAPAN  
015 E040088 X  
015 A000089 THE HONGKONG AND SHANGHAI BANKING CORP. LTD  
015 B000089 S  
015 C010089 KOWLOON  
015 D010089 HONG KONG  
015 E040089 X  
015 A000090 THE HONGKONG AND SHANGHAI BANKING CORP. LTD  
015 B000090 S  
015 C010090 EBENE  
015 D010090 MAURITIUS  
015 E040090 X  
015 A000091 THE HONGKONG AND SHANGHAI BANKING CORP. LTD  
015 B000091 S  
015 C010091 TAGUIG CITY  
015 D010091 PHILIPPINES  
015 E040091 X  
015 A000092 THE HONGKONG AND SHANGHAI BANKING CORP. LTD  
015 B000092 S  
015 C010092 COLOMBO  
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015 D010092 SRI LANKA  
015 E040092 X  
015 A000093 UBS AG  
015 B000093 S  
015 C010093 ZURICH  
015 D010093 SWITZERLAND  
015 E040093 X  
015 A000094 UNICREDIT BANK AUSTRIA AG  
015 B000094 S  
015 C010094 VIENNA  
015 D010094 AUSTRIA  
015 E040094 X  
015 A000095 UNICREDIT BANK CZECH REPUBLIC A.S.  
015 B000095 S  
015 C010095 PRAGUE  
015 D010095 CZECH REPUBLIC  
015 E040095 X  
015 A000096 UNICREDIT BANK SLOVAKIA A.S.  
015 B000096 S  
015 C010096 BRATISLAVA  
015 D010096 SLOVAK REPUBLIC  
015 E040096 X  
015 A000097 UNICREDIT BANK SRBIJA A.D.  
015 B000097 S  
015 C010097 BELGRADE  
015 D010097 SERBIA  
015 E040097 X  
015 A000098 UNICREDIT BANKA SLOVENIJA D.D.  
015 B000098 S  
015 C010098 LJUBLJANA  
015 D010098 SLOVENIA  
015 E040098 X  
018 000000 Y  
019 A000000 Y

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019 B000000 220  
019 C000000 COLUMBIAFD  
020 A000001 CITIGROUP GLOBAL MARKETS INC.  
020 B000001 11-2418191  
020 C000001 25  
020 A000002 STIFEL, NICOLAUS & CO., INC.  
020 B000002 43-0538770  
020 C000002 22  
020 A000003 MORGAN STANLEY  
020 B000003 13-2655998  
020 C000003 12  
020 A000004 UBS SECURITIES LLC  
020 B000004 13-3873456  
020 C000004 9  
020 A000005 GOLDMAN, SACHS & CO.  
020 B000005 13-5108880  
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PAGE 12  
020 A000006 RAYMOND JAMES & ASSOCIATES, INC.  
020 B000006 59-1237041  
020 C000006 8  
020 A000007 BARCLAYS CAPITAL  
020 B000007 13-3297824  
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020 A000008 J.P. MORGAN SECURITIES, INC.  
020 B000008 13-3224016  
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020 A000009 FRIEDMAN, BILLINGS, RAMSEY & CO., INC.  
020 B000009 32-0045263  
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020 A000010 WEEDEN & CO. L.P.  
020 B000010 13-3364318  
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022 A000001 DEUTSCHE BANK SECURITIES INC.  
022 B000001 13-2730828  
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022 D000001 131239  
022 A000002 MORGAN STANLEY  
022 B000002 13-2655998  
022 C000002 95739  
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022 A000003 J.P. MORGAN SECURITIES, INC.  
022 B000003 13-3224016  
022 C000003 76540  
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022 A000004 GOLDMAN, SACHS & CO.  
022 B000004 13-5108880  
022 C000004 45952  
022 D000004 43443  
022 A000005 CITIGROUP GLOBAL MARKETS INC.  
022 B000005 11-2418191  
022 C000005 19302  
022 D000005 3043  
022 A000006 BARCLAYS CAPITAL  
022 B000006 13-3297824  
022 C000006 9425  
022 D000006 10298  
022 A000007 JEFFERIES & COMPANY, INC.  
022 B000007 95-2622900  
022 C000007 9901  
022 D000007 6583

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022 A000008 WELLS FARGO INVESTMENTS, LLC  
022 B000008 91-1374280  
022 C000008 3704  
022 D000008 8462  
022 A000009 FRIEDMAN, BILLINGS, RAMSEY & CO., INC.  
022 B000009 32-0045263  
022 C000009 2918

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022 D000009 4451  
022 A000010 STERNE, AGEE & LEACH INC.  
022 B000010 63-0463600  
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023 D000000 379981

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025 A000001 Franklin Resources, Inc.  
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025 D000001 7881  
025 A000002 JPMorgan Chase & Co.  
025 B000002 13-3224016

025 C000002 E  
025 D000002 21930

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054	D000000	N
054	E000000	N
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054	H000000	Y

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054 I000000 N  
054 J000000 Y  
054 K000000 N  
054 L000000 Y  
054 M000000 Y  
054 N000000 N  
054 O000000 Y  
055 A000000 N  
055 B000000 N  
056 000000 Y  
057 000000 N  
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059 000000 Y  
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060 B000000 Y  
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063 A000000 0  
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066 C000000 N  
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066 D000000 N  
066 E000000 Y  
066 F000000 N  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 Y  
070 B010000 Y  
070 B020000 N  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
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070 E020000 N  
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070 G020000 N

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070	L010000	Y	
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070	M010000	Y	
070	M020000	N	
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070	N020000	Y	
070	O010000	Y	
070	O020000	N	
070	P010000	Y	
070	P020000	N	
070	Q010000	Y	
070	Q020000	N	
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070	R020000	N	
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072	W000000		145
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072	Y000000		0
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072DD	020000		0
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074	O000000	0
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074	Q000000	0
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074	R040000	108887
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074	T000000	1185182
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074	U020000	0
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074	V020000	0.00
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081	B000000	0
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084	B000000	0
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086 A020000 2543  
086 B010000 1104  
086 B020000 16929  
086 C010000 0  
086 C020000 0  
086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0  
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086 F010000 0  
086 F020000 0  
087 A010000 TRI-CONTINENTAL - COMMON STOCK  
087 A020000 895436103  
087 A030000 TY  
087 B010000 TRI-CONTINENTAL - PREFERRED STOCK  
087 B020000 895436202  
087 B030000 TY  
087 C010000 TRI-CONTINENTAL - WARRANT  
087 C020000 895436111  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 Y  
SIGNATURE SEE BELOW  
TITLE SEE BELOW

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Boston State of: Massachusetts Date: August 16, 2012

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Michael G. Clarke

-----  
Michael G. Clarke  
Treasurer

/s/ Wade M. Voigt

-----  
Wade M. Voigt  
Assistant Treasurer  
Witness

TRI-CONTINENTAL CORPORATION