

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

TRI-CONTINENTAL CORP

Form NSAR-A

August 26, 2011

PAGE 1  
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000 E000000 NF  
000 F000000 Y  
000 G000000 N  
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001 C000000 6126715682  
002 A000000 50606 AMERIPRISE FINANCIAL CENTER  
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008 D020001 MA  
008 D030001 02110  
010 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC  
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012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.  
012 B000001 84-5685  
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013 A000001 ERNST & YOUNG LLP  
013 B010001 MINNEAPOLIS  
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014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.  
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014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.  
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014 A000003 SECURITIES AMERICA, INC.  
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014 A000004 AMERIPRISE FINANCIAL SERVICES, INC.  
014 B000004 8-16791  
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014 A000005 RIVERSOURCE DISTRIBUTORS, INC.  
014 B000005 8-67196  
015 A000001 JPMORGAN CHASE BANK, N.A.  
015 B000001 C  
015 C010001 NEW YORK  
015 C020001 NY

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020 A000003 INSTINET LLC  
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020 A000007 LIQUIDNET, INC.  
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025 A000002 FRANKLIN RESOURCES, INC.  
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PAGE 6

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| 087 | A010000 | TRI-CONTINENTAL - COMMON STOCK    |
| 087 | A020000 | 895436103                         |
| 087 | A030000 | TY                                |
| 087 | B010000 | TRI-CONTINENTAL - PREFERRED STOCK |

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087 B020000 895436202  
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087 C010000 TRI-CONTINENTAL - WARRANT  
087 C020000 895436111  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 Y  
SIGNATURE SEE BELOW  
TITLE SEE BELOW

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Boston State of: MA Date: August 19, 2011

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Michael G. Clarke  
-----

Michael G. Clarke  
Treasurer

/s/ Wade M. Voigt  
-----

Wade M. Voigt  
Assistant Treasurer  
Witness

TRI-CONTINENTAL CORPORATION