

Edgar Filing: HARTFORD FINANCIAL SERVICES GROUP INC/DE - Form 8-K

HARTFORD FINANCIAL SERVICES GROUP INC/DE  
Form 8-K  
November 12, 2004

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): November 11, 2004  
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THE HARTFORD FINANCIAL SERVICES GROUP, INC.  
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(Exact name of registrant as specified in its charter)

----- Delaware ----- (State or other jurisdiction of Incorporation)	001-13958 ----- (Commission File Number)	13-3317783 ----- (IRS Employer Identification No.)
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The Hartford Financial Services Group, Inc. Hartford Plaza Hartford, Connecticut ----- (Address of principal executive offices)	06115-1900 ----- (Zip Code)
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Registrant's telephone number, including area code: (860) 547-5000  
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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 8.01 Other Events.

On November 11, 2004, each of The Hartford Financial Services Group, Inc.'s ("The Hartford") two registrant subsidiaries, Hartford Life, Inc. and Hartford Life Insurance Company, filed with the Securities and Exchange Commission (the "SEC") a Quarterly Report on Form 10-Q for the quarterly period ended September 30, 2004.

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These periodic reports contain disclosure regarding (i) the recent commencement by the SEC's Division of Enforcement of an investigation into The Hartford's use of directed brokerage in connection with The Hartford's mutual funds, and (ii) The Hartford's receipt of additional subpoenas regarding broker compensation and possible anti-competitive activity from the Insurance Division of the Illinois Department of Financial and Professional Regulation and the Texas Attorney General's Office. For more information about regulatory developments relating to The Hartford, see The Hartford's Quarterly Report on Form 10-Q for the quarterly period ended September 30, 2004, which was filed with the SEC on November 4, 2004.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

THE HARTFORD FINANCIAL SERVICES GROUP, INC.

Date: November 10, 2004

By: /s/ Neal S. Wolin

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Name: Neal S. Wolin

Title: Executive Vice President and  
General Counsel