#### Edgar Filing: GALECTIN THERAPEUTICS INC - Form 4

#### GALECTIN THERAPEUTICS INC

Form 4

October 03, 2013

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

5. Relationship of Reporting Person(s) to

4,947

Ι

Expires:

3235-0287 January 31,

if no longer

Check this box

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

2005 Estimated average burden hours per response... 0.5

**OMB APPROVAL** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \*

MAULDIN JOHN F Issuer Symbol GALECTIN THERAPEUTICS INC (Check all applicable) [GALT] (Last) (First) (Middle) 3. Date of Earliest Transaction X\_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) C/O GALECTIN THERAPEUTICS 10/01/2013 INC., 4960 PEACHTREE INDUSTRIAL BLVD, STE 240 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting NORCROSS, GA 30071 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired 5. Amount of 7. Nature of Indirect Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned (D) or Ownership Indirect (I) **Following** (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) (D) Price Code V Amount \$ Common  $P^{(1)}$ 10/01/2013 376 A 9.9634 16,244 D Stock (2) Common \$ 9.97 10/02/2013  $\mathbf{P}^{(1)}$ 125 A 16,369 D Stock Common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

By Trust

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SEC 1474 (9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                    | 5.        | 6. Date Exercisable and |            | 7. Title         | e and   | 8. Price of | 9 |
|-------------|-------------|---------------------|--------------------|-----------------------|-----------|-------------------------|------------|------------------|---------|-------------|---|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber     |           | Expiration Da           | ate Amou   |                  | nt of   | Derivative  | J |
| Security    | or Exercise |                     | any                | Code                  | of        | (Month/Day/             | Year)      | Under            | lying   | Security    | 5 |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) Derivative |           | e                       |            |                  | ties    | (Instr. 5)  | J |
|             | Derivative  |                     |                    | Securities            |           | (1                      |            | (Instr. 3 and 4) |         |             | ( |
|             | Security    |                     |                    | Acquired (A) or       |           |                         |            |                  |         | J           |   |
|             |             |                     |                    |                       |           |                         |            |                  |         | J           |   |
|             |             |                     |                    |                       | Disposed  |                         |            |                  |         |             | - |
|             |             |                     |                    | of (D)                |           |                         |            |                  |         | (           |   |
|             |             |                     |                    | (Instr. 3,            |           |                         |            |                  |         |             |   |
|             |             |                     |                    |                       | 4, and 5) |                         |            |                  |         |             |   |
|             |             |                     |                    |                       |           |                         |            |                  | A mannt |             |   |
|             |             |                     |                    |                       |           |                         |            |                  | Amount  |             |   |
|             |             |                     |                    |                       |           | Exercisable Date        | Expiration |                  | or      |             |   |
|             |             |                     |                    |                       |           |                         | Date       |                  | Number  |             |   |
|             |             |                     |                    | C-J- V                | (A) (D)   |                         |            |                  | of      |             |   |
|             |             |                     |                    | Code V                | (A) (D)   |                         |            |                  | Shares  |             |   |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MAULDIN JOHN F C/O GALECTIN THERAPEUTICS INC. 4960 PEACHTREE INDUSTRIAL BLVD, STE 240 NORCROSS, GA 30071



## **Signatures**

/s/ Jack W. Callicutt, Attorney-in-Fact

10/03/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The purchases reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 15, 2013.
- Reflects weighted average price. Range of prices were between \$9.95 and \$9.97. The reporting person will provide upon request by the (2) Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased or sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2