Edgar Filing: WHALEY CHERYL CALLOWAY - Form 4

| WHALEY CHE Form 4 August 02, 2007 | | OWAY | | | | | | | | |
|---|---|---|----------------|--|---|---|--|--|--------------------------|--|
| FORM 4 | 1 | STATES | | | | | COMMISSIO | | PPROVAL 3235-0287 | |
| Check this bo if no longer subject to Section 16. Form 4 or Form 5 obligations may continue <i>See</i> Instruction 1(b). | STATEN Filed put Section 17(| Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | |
| (Print or Type Resp | onses) | | | | | | | | | |
| 1. Name and Addr WHALEY CH | | 2. Issuer Name and Ticker or Trading Symbol GENWORTH FINANCIAL INC [GNW] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| (Last) C/O GENWOR INC., 6620 WE | 3. Date of Earliest Transaction (Month/Day/Year) 07/31/2007 | | | Director 10% Owner X Officer (give title Other (specify below) below) SVP-CAPITAL MKTS & GROWTH VEN | | | | | | |
| | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| (City) | (State) | (Zip) | Tab | la I Non i | Dominativa | Somution A | Person | of or Dopoficio | lly Owned | |
| | 'ransaction Date onth/Day/Year) | 2A. Deemo | ed Date, if | 3. Transactic Code (Instr. 8) | 4. Securit nAcquired Disposed (Instr. 3, - | ies (A) or of (D) 4 and 5) (A) or | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect | |
| Reminder: Report o | on a separate line | e for each cl | ass of sec | urities bene | Perso inforr requi | ns who res nation cont red to resp ays a curre | or indirectly. spond to the colle tained in this form ond unless the fo ntly valid OMB co | n are not rm | SEC 1474 (9-02) | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of |
|-------------|------------|---------------------|--------------------|----------|---------------|-------------------------|------------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transact | iorDerivative | Expiration Date | Underlying Securities |

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| Security (Instr. 3) | or Exercise Price of Derivative Security | | any (Month/Day/Year) | Code (Instr. 8) | Securities Acquired (A or Disposed (D) (Instr. 3, 4, and 5) | .) | (Month/Day/Year) | | (Instr. 3 and 4) | |
|------------------------------|---|------------|-------------------------|--------------------|--|------------------------|--------------------|----------------------------|-------------------------------------|--|
| | | | | Code V | (A) (| D) Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | <u>(1)</u> | 07/31/2007 | | А | 3,150 | (2) | (2) | Class A Common Stock | 3,150 | |
| Stock Settled SARs | \$ 30.52 | 07/31/2007 | | А | 22,050 | (3) | 07/31/2017 | Class A Common | 22,050 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|------------|-------------------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| WHALEY CHERYL CALLOWAY C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET RICHMOND, VA 23230 | | | SVP-CAPITAL MKTS & GROWTH VEN | | | |
| Signatures | | | | | | |
| /s/ Richard J. Oelhafen, Jr., Attorney-in-Fact | | 08/02/2007 | | | | |

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.
- (2) Restricted Stock Units reported on this Form 4 vest and convert to Class A Common Stock: 50% on 7/31/2010 and 50% on 7/31/2012.
- (3) Vests in 20% annual increments beginning on 7/31/2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.