

CTI BIOPHARMA CORP  
Form 4  
March 04, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Plunkett Matthew

(Last) (First) (Middle)

3101 WESTERN AVENUE, SUITE 600

(Street)

SEATTLE, WA 98121

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
CTI BIOPHARMA CORP [ctic]

3. Date of Earliest Transaction (Month/Day/Year)  
03/04/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

EVP, Chief Business Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |                                   |
| Common Stock                    | 03/04/2016                           |  | S <sup>(1)</sup>               | V   | 1,300   | D  | \$ 0.585 598,784 D                |
| Common Stock                    | 03/04/2016                           |  | S <sup>(1)</sup>               |   | 400   | D  | \$ 0.586 598,384 D                |
| Common Stock                    | 03/04/2016                           |  | S <sup>(1)</sup>               |   | 636   | D  | \$ 0.587 597,748 D                |
| Common Stock                    | 03/04/2016                           |  | S <sup>(1)</sup>               |   | 1,500   | D  | \$ 0.588 596,248 D                |
| Common Stock                    | 03/04/2016                           |  | S <sup>(1)</sup>               |   | 844   | D  | \$ 0.589 595,404 D                |

Edgar Filing: CTI BIOPHARMA CORP - Form 4

|              |            |                  |       |   |          |         |   |
|--------------|------------|------------------|-------|---|----------|---------|---|
| Common Stock | 03/04/2016 | S <sup>(1)</sup> | 700   | D | \$ 0.59  | 594,704 | D |
| Common Stock | 03/04/2016 | S <sup>(1)</sup> | 700   | D | \$ 0.591 | 594,004 | D |
| Common Stock | 03/04/2016 | S <sup>(1)</sup> | 200   | D | \$ 0.592 | 593,804 | D |
| Common Stock | 03/04/2016 | S <sup>(1)</sup> | 1,100 | D | \$ 0.593 | 592,704 | D |
| Common Stock | 03/04/2016 | S <sup>(1)</sup> | 500   | D | \$ 0.594 | 592,204 | D |
| Common Stock | 03/04/2016 | S <sup>(1)</sup> | 1,220 | D | \$ 0.595 | 590,984 | D |
| Common Stock | 03/04/2016 | S <sup>(1)</sup> | 900   | D | \$ 0.596 | 590,084 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|  |  |                                      |  |                                |   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares  |
|  |  |                                      |  |                                |   | Code   | V (A) (D)   |  |   |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                             |       |
|---|---------------|-----------|-----------------------------|-------|
|   | Director      | 10% Owner | Officer                     | Other |
| Plunkett Matthew<br>3101 WESTERN AVENUE, SUITE 600<br>SEATTLE, WA 98121 |               |           | EVP, Chief Business Officer |       |

## Signatures

By: Louis A. Bianco, Attorney-in-fact For: Matthew J.  
Plunkett

03/04/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sale was effected pursuant to a Rule 10b5-1 trading plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.