TRIMBLE INC. Form 4

Kliem Jurgen

November 15, 2016

#### **OMB APPROVAL** UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading

			TRIMBLE INC. [TRMB]	(Check all applicable)
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	
C/O TRIMB	LE INC., 935 DRIVE		(Month/Day/Year) 11/11/2016	Director 10% OwnerX Officer (give title Other (specification) below)  Vice President
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person
SUNNYVAI	LE, CA 94085			Form filed by More than One Reporting Person

(City)	(State)	(Zip) Tal	ble I - Non	-Derivative Sec	urities	Acquire	d, Disposed of, o	r Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities A orDisposed of (D (Instr. 3, 4 and Amount	)	d (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/11/2016		S	12,088.558	D	\$ 27.41	7,130.9996 (1)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Symbol

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Issuer

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

2005

0.5

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1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	Execution Date, if any	Code	5. orNumber of	6. Date Exerc Expiration D (Month/Day/	ate	7. Titl Amou Under	int of rlying	8. Price of Derivative Security	9. Nu Deriv Secur
(Instr. 3)	Price of Derivative		(Month/Day/Year)	(Instr. 8)	Securities			Secur (Instr.	3 and 4)	(Instr. 5)	Bene
	Security				Acquired (A) or						Follo Repo
					Disposed						Trans
					of (D) (Instr. 3,						(Instr
					4, and 5)						
						Date Exercisable	Expiration Date	Title	Amount or Number of		
				Code V	(A) (D)				Shares		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
• 0	Director	10% Owner	Officer	Other			
Kliem Jurgen							
C/O TRIMBLE INC.			Vice				
935 STEWART DRIVE			President				
SUNNYVALE, CA 94085							

### **Signatures**

James A Kirkland, as Attorney-in-Fact

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 358.585 shares acquired under the Amended and Restated Employee Stock Purchase Plan on August 31, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. > 383,286  $\frac{(3)}{3}$  Common Stock 383,286 \$ 0  $\frac{(3)}{3}$  0 I By Austin Ventures X, L.P.  $\frac{(5)}{3}$  Series C Preferred Stock  $\frac{(4)}{3}$ 11/12/2014 C 80,500  $\frac{(4)}{3}$  Common Stock 80,500 \$ 0  $\frac{(4)}{3}$  0 I By Austin Ventures IX, L.P.  $\frac{(2)}{3}$  Series C Preferred Stock  $\frac{(4)}{3}$ 11/12/2014 C 120,750  $\frac{(4)}{3}$  Common Stock 120,750 \$ 0  $\frac{(4)}{3}$  0 I by Austin Ventures X, L.P.  $\frac{(5)}{3}$ 

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
• 9	Director	10% Owner	Officer	Othe		
AV Partners IX LLC 300 W. SIXTH STREET, STE. 2300 AUSTIN, TX 78701		X				

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ARAGONA JOSEPH C

300 W. SIXTH STREET, STE. 2300 X

**AUSTIN, TX 78701** 

DEANGELIS KENNETH P

300 W. SIXTH STREET, STE. 2300 X

**AUSTIN, TX 78701** 

PACITTI CHRISTOPHER A

300 W. SIXTH STREET, STE. 2300 X

**AUSTIN, TX 78701** 

Siegel Philip S

300 W. SIXTH STREET, STE. 2300 X

AUSTIN, TX 78701

### **Signatures**

/s/ Robert Housley, Attorney-in-Fact

\*\*Signature of Reporting Person Date

/s/ Robert Housley,

Attorney-in-Fact

\*\*Signature of Reporting Person Date

/s/ Robert Housley, Attorney-in-Fact

\*\*Signature of Reporting Person Date

/s/ Robert Housley, Attorney-in-Fact

\*\*Signature of Reporting Person Date

/s/ Robert Housley, 11/14/2014

Attorney-in-Fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Series A Preferred Stock, which was convertible at any time and had no expiration date, converted into Upland Software, Inc. common stock on a one-for-one basis.

Shares held directly by Austin Ventures IX, L.P. ("AV IX"). AV Partners IX, L.P. ("AVP IX LP"), the general partner of AV IX, and AV Partners IX, L.L.C. ("AVP IX LLC"), the general partner AVP IX LP, may each be deemed to have sole voting and dispositive powers over the shares held by AV IX. Joseph C. Aragona, Kenneth P. DeAngelis, Christopher A. Pacitti, Philip S. Siegel, and John D. Thornton

- are members of or are associated with AVP IX LLC and may be deemed to share voting and dispositive power over the shares held by AV IX. Such persons and entities disclaim beneficial ownership of shares held by AV IX, except to the extent of any pecuniary interest therein.
- (3) The Series B Preferred Stock, which was convertible at any time and had no expiration date, converted into Upland Software, Inc. common stock on a one-for-one basis.
- (4) The Series C Preferred Stock, which was convertible at any time and had no expiration date, converted into Upland Software, Inc. common stock on a one-for-one basis.

(5)

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Shares held directly by Austin Ventures X, L.P. ("AV X"). AV Partners X, L.P. ("AVP X LP"), the general partner of AV X, and AV Partners X, L.L.C. ("AVP X LLC"), the general partner AVP X LP, may each be deemed to have sole voting and dispositive powers over the shares held by AV X. Joseph C. Aragona, Kenneth P. DeAngelis, Christopher A. Pacitti, Philip S. Siegel, and John D. Thornton are members of or are associated with AVP X LLC and may be deemed to share voting and dispositive power over the shares held by AV X. Such persons and entities disclaim beneficial ownership of shares held by AV X, except to the extent of any pecuniary interest therein.

#### **Remarks:**

This report is one of three reports, each on a separate Form 4 but relating to the same transactions.

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