## GABELLI CONVERTIBLE & INCOME SECURITIES FUND INC

Form 144

December 29, 2015

OMB APPROVAL

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SEC USE ONLY

DOCUMENT SEQUENCE NO.

**CUSIP NUMBER** 

**WORK LOCATION** 

**UNITED STATES** SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**FORM 144** 

NOTICE OF PROPOSED SALE OF SECURITIES PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

ATTENTION: Transmit for filing 3 copies of this form concurrently with either placing an

order with a broker to execute sale or executing a sale

directly with a market maker.

1(a) NAME OF ISSUER (Please type or print) (b) IRS IDENT. NO. (c) S.E.C. FILE NO.

Gabelli Convertible & Income Securities Fund Inc. 13-3523423 811-05715

1(d) ADDRESS OF ISSUER

**EPHONE STREET CITY** 

**ZIP CODE** 

AREA **NUMBER** 

921-5000 One Corporate Center NY CODE Rye

914 10580

2(a) NAME OF PERSON FOR (b) IRS (c) (d) ADDRESS

WHOSE ACCOUNT THE IDENT. NO. RELATIONSHIP STREET **CITY** 

SECURITIES ARE TO BE SOLD TO ISSUER STATE **ZIP CODE** 

140 Greenwich Avenue See Note Greenwich GGCP, Inc.

13-3056041 CT06830

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

3(a) Title of (b) the Name and Address of Each Class Broker Through Whom the of Securities are to be Offered or SecuritiEach Market Maker who is To Be Acquiring the Securities Sold	SEC USE ONLY Broker-Dealer File Number	(c) Number of Shares or (d) Other Aggregate Units Value To ([See instr. Sold [See instr. 3(c)]	(e) Number of Shares or Other Units Outstanding [See instr. 3(e)]	(f) Approximate Date of Sale [See instr. 3(f)] (MO DAY YR)	(g) Name of Each Securities Exchange [See instr. 3(g)]
Common One Corporate Center Stock Rye, NY 10580		\$48,709 10,00@s of 12/29/2015	14,079,500	12/29/2015	NYSE / OTC

#### **INSTRUCTIONS:**

- 1. (a) Name of Issuer
  - (b) Issuer's I.R.S. Identification Number
  - (c) Issuer's S.E.C. file number, if any
  - (d) Issuer's address, including zip code
- code
- 2. (a) Name of person for whose account the securities are to be sold
- (b) Such person's relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)

- 3. (a) Title of the class of securities to be sold
- (b) Name and address of each broker through whom the securities are intended to be sold
- (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
- (d) Aggregate market value of the securities to be sold as (e) Issuer's telephone number, including area of a specified date within 10 days prior to the filing of this notice
  - (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent

report or statement published by the issuer

- (f) Approximate date on which the securities are to be sold
- (c) Such person's address, including zip code the securities are intended to be sold (g) Name of each securities exchange, if any, on which

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### TABLE I -- SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class	Date You Acquired Nature of Acquisition Transaction	Name of Person from Whom Acquired (if gift, also give date dono acquired)	Amount of Securities Acquired	Date of Nature Payment Payment	of nt
Common Stock	Various Open Market Purchase	Issuer	890,549	Various	Cash

If the securities were purchased and full payment therefore was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the INSTRUCTIONS: consideration consisted of any note or other obligation, or if payment was made in installments, describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

## TABLE II -- SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Seller Title of Securities Sold Date of Sale Amount of Securities Sold Gross Proceeds

#### **REMARKS:**

Note – GGCP, Inc. is the controlling shareholder and the majority owner of GAMCO Investors Inc.'s Class B Common Stock (the parent company of the Investment Advisor for the Issuer).

# **INSTRUCTIONS:**

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with

#### ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the person for whose account the securities are to be the current and prospective operations of the Issuer of the sold but also as to all other persons included in that securities to be sold which has not been publicly disclosed. If definition. In addition, information shall be given as such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted sales for the account of the person filing this notice. or the instruction given, that person makes such representation as of the plan adoption or instruction date.

December 29, 2015 <u>/s/</u> Douglas R. Jamieson

DATE OF NOTICE (SIGNATURE)

Douglas R. Jamieson,

Attorney-In-Fact for GGCP, Inc.

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION

IF RELYING ON RULE 10B5-1

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)