

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-A

FIRST OPPORTUNITY FUND INC

Form NSAR-A

November 27, 2013

PAGE 1
000 A000000 09/30/2013
000 C000000 0000790202
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 FIRST OPPORTUNITY FUND, INC.
001 B000000 811-4605
001 C000000 3034445483
002 A000000 2344 SPRUCE STREET, SUITE A
002 B000000 BOULDER
002 C000000 CO
002 D010000 80302
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 STEWART WEST INDIES TRADING COMPANY, LTD
008 B000001 A
008 C000001 801-56522
008 D010001 ST. PETER
008 D050001 BARBADOS
008 A000002 ROCKY MOUNTAIN ADVISERS, LLC
008 B000002 A
008 C000002 801-70202
008 D010002 BOULDER
008 D020002 CO
008 D030002 80302
010 A000001 FUND ADMINISTRATIVE SERVICES, LLC
010 B000001 84-1282398
010 C010001 BOULDER
010 C020001 CO
010 C030001 80302
010 A000002 ALPS FUND SERVICES, INC.
010 B000002 84-5730
010 C010002 DENVER
010 C020002 CO
010 C030002 80203
012 A000001 COMPUTERSHARE TRUST COMPANY, NA
012 B000001 85-11340
012 C010001 CANTON
012 C020001 MA
012 C030001 02021
013 A000001 DELOITTE & TOUCHE LLP
013 B010001 DENVER
013 B020001 CO
PAGE 2
013 B030001 80202
015 A000001 BANK OF NEW YORK MELLON
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10286

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015 E010001 X
015 A000002 CITIBANK NETHERLANDS
015 B000002 S
015 C010002 BRUSSELS
015 D010002 BELGIUM
015 E040002 X
015 A000003 CITIBANK, N.A. (BRAZIL)
015 B000003 S
015 C010003 SAO PAULO
015 D010003 BRAZIL
015 E040003 X
015 A000004 UNICREDIT BANK AUSTRIA AG
015 B000004 S
015 C010004 VIENNA
015 D010004 AUSTRIA
015 E040004 X
015 A000005 NATIONAL AUSTRALIA BANK
015 B000005 S
015 C010005 MELBOURNE
015 D010005 AUSTRALIA
015 E040005 X
015 A000006 CIBC MELLON TRUST COMPANY CANADA
015 B000006 S
015 C010006 TORONTO
015 D010006 CANADA
015 E040006 X
015 A000007 HSBC BANK (EGYPT)
015 B000007 S
015 C010007 CAIRO
015 D010007 EGYPT
015 E040007 X
015 A000008 CREDIT SUISSE AG
015 B000008 S
015 C010008 ZURICH
015 D010008 SWITZERLAND
015 E040008 X
015 A000009 HONG KONG AND SHANGHAI BANKING CORPORATION
015 B000009 S
015 C010009 SEOUL
015 D010009 KOREA
015 E040009 X
015 A000010 BNY MELLON ASSET SERVICING GMBH
015 B000010 S
015 C010010 FRANKFURT
015 D010010 GERMANY
015 E040010 X
015 A000011 SKANDINAVISKA ENSKILDA BANKEN AB
015 B000011 S
015 C010011 COPENHAGEN
015 D010011 DENMARK
015 E040011 X
015 A000012 BANCO BILBAO VIZCAYA ARGENTARIA
015 B000012 S
015 C010012 MADRID
015 D010012 SPAIN
015 E040012 X
015 A000013 BNP PARIBAS SECURITIES SERVICES
015 B000013 S
015 C010013 PARIS
015 D010013 FRANCE
015 E040013 X

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015 A000014 BANK OF NEW YORK, LONDON
015 B000014 S
015 C010014 LONDON
015 D010014 ENGLAND
015 E040014 X
015 A000015 HONG KONG AND SHANGHAI BANKING CORPORATION
015 B000015 S
015 C010015 KOWLOON
015 D010015 HONG KONG
015 E040015 X
015 A000016 DEUTSCHE BANK, AG (INDIA)
015 B000016 S
015 C010016 MUMBAI
015 D010016 INDIA
015 E040016 X
015 A000017 MIZHUO CORPORATE BANK LTD
015 B000017 S
015 C010017 TOKYO
015 D010017 JAPAN
015 E040017 X
015 A000018 BANCO NACIONAL DE MEXICO (BANAMEX)
015 B000018 S
015 C010018 MEXICO CITY
015 D010018 MEXICO
015 E040018 X
015 A000019 BNY MELLON ASSET SERVICING BV (NETHERLANDS)
015 B000019 S
015 C010019 AMSTERDAM
015 D010019 NETHERLANDS
015 E040019 X
015 A000020 NATIONAL AUSTRALIA BANK NEW ZEALAND-NATIONAL
015 B000020 S
015 C010020 WELLINGTON
015 D010020 NEW ZEALAND
015 E040020 X
PAGE 4
015 A000021 BANQUE ET CAISSE D'EPARGNE DE L'ETAT
015 B000021 S
015 C010021 LUXEMBOURG
015 D010021 LUXEMBOURG
015 E040021 X
015 A000022 DBS BANK LTD
015 B000022 S
015 C010022 SINGAPORE
015 D010022 SINGAPORE
015 E040022 X
015 A000023 SKANDINAVISKA ENSKILDA BANKEN AB
015 B000023 S
015 C010023 STOCKHOLM
015 D010023 SWEDEN
015 E040023 X
015 A000024 HONG KONG AND SHANGHAI BANKING CORPORATION
015 B000024 S
015 C010024 BANGKOK
015 D010024 THAILAND
015 E040024 X
015 A000025 DEUTSCHE BANK (TURKEY)
015 B000025 S
015 C010025 ISTANBUL
015 D010025 TURKEY
015 E040025 X
015 A000026 DEUTSCHE BANK, AG (JAKARTA)

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015 B000026 S
 015 C010026 JAKARTA
 015 D010026 INDONESIA
 015 E040026 X
 015 A000027 SKANDINAVISKA ENSKILDA BANKEN AB
 015 B000027 S
 015 C010027 OSLO
 015 D010027 NORWAY
 015 E040027 X
 015 A000028 DEUTSCHE BANK AG
 015 B000028 S
 015 C010028 KARACHI
 015 D010028 PAKISTAN
 015 E040028 X
 015 A000029 HONG KONG AND SHANGHAI BANKING CORPORATION
 015 B000029 S
 015 C010029 COLOMBO
 015 D010029 SRI LANKA
 015 E040029 X
 018 000000 Y
 019 A000000 Y
 019 B000000 4
 019 C000000 BOULDERINV
 020 A000001 BLOOMBERG TRADEBOOK LLC
 020 B000001 13-3880286

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020 C000001 3
 020 A000002 G-Trade Services LLC
 020 B000002 14-1950353
 020 C000002 1
 021 000000 4
 022 A000001 DREYFUS TREASURY CASH MANAGEMENT
 022 C000001 4735
 022 D000001 8356
 022 A000002 JP MORGAN PRIME MONEY MARKET FUND
 022 C000002 0
 022 D000002 3900
 023 C000000 4735
 023 D000000 12256
 024 000000 Y
 025 A000001 JP MORGAN PRIME MONEY MARKET FUND
 025 B000001 -
 025 C000001 E
 025 D000001 3000
 025 A000002 DREYFUS TREASURY CASH MANAGEMENT
 025 B000002 -
 025 C000002 E
 025 D000002 678
 025 A000003 JP MORGAN CHASE & CO.
 025 B000003 13-2624428
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 025 D000003 15703
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 026 B000000 N
 026 C000000 N
 026 D000000 Y
 026 E000000 N
 026 F000000 N
 026 G010000 N
 026 G020000 N
 026 H000000 N
 027 000000 N

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| 046 | 000000 | Y | |
| 047 | 000000 | Y | |
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| 053 | C000000 | N | |
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062 G000000 0.0
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062 Q000000 0.0
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066 A000000 Y
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066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 Y

067 000000 N
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068 B000000 N
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070 O020000 N
070 P010000 Y

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070 P020000 N
070 Q010000 Y
070 Q020000 N
070 R010000 Y

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| 074 | Q000000 | | 0 |
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| 074 | R020000 | | 0 |

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| | | |
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| 074 | R040000 | 165 |
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| 074 | V020000 | 0.00 |
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| 075 | B000000 | 0 |
| 076 | 000000 | 8.28 |
| 077 | A000000 | N |
| 078 | 000000 | Y |
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| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
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| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | Common Stock |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |
| SIGNATURE | Nicole Murphey | |
| TITLE | Assistant Treasurer | |