STIFEL FINANCIAL CORP

Form 4

January 27, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

01/24/2014

Stock

1. Name and Ad BEDA BRU	Person * 2. Issue Symbol	2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer			
		STIFEI	FINANC	IAL CO	RP [SF]	(Ch	eck all applicable	e)	
(Last)	(First) (M	Middle) 3. Date of	f Earliest Tra	nsaction					
		(Month/Γ	ay/Year)			_X_ Director		6 Owner	
355 EAST C	#4602 01/24/2	01/24/2014				ve titleOth below)	er (specify		
(Street) 4. If A			. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
Filed			Filed(Month/Day/Year)			Applicable Line)			
CHICAGO,	IL 60611					Form filed by	y One Reporting Po More than One Ro		
•						Person			
(City)	(State)	(Zip) Tab	e I - Non-De	erivative S	Securities A	acquired, Disposed	of, or Beneficia	lly Owned	
1.Title of	2. Transaction Date	e 2A. Deemed	3.	4. Securi	ties	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year)	Execution Date, if	Transactio	nAcquired	l(A) or	Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code	Disposed	` ′	Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)	3) (Instr. 3, 4 and 5)		Owned	Indirect (I)	*	
						Following	(Instr. 4)	(Instr. 4)	
					(A)	Reported Transaction(s)			
			Code V	Amount	or (D) Prio	(Instr. 3 and 4)			
Common	01/24/2014		М	1512	A \$0	41 027	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

M

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

D

41,027

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

4,513 A

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De

(In

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivat. Securities Acquired (A) or Disposed (D) (Instr. 3, 4 and 5)	ive I (of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D		Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Units	\$ 0	01/24/2014		M	4,51	13	<u>(1)</u>	(2)	Common Stock	4,513
Stock Option (Option to Buy)	\$ 17						(3)	01/03/2016	Common Stock	2,250

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
BEDA BRUCE A 355 EAST OHIO AVENUE #4602 CHICAGO, IL 60611	X					

Signatures

By: David M. Minnick For: Bruce A.
Beda
01/27/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Currently exercisable.
- (2) No expiration date for these Units.
- (3) Options vest in 20% increments on 1/3/07, 1/3/08, 1/3/09, 1/3/10 and 1/3/11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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