

Edgar Filing: ARWOOD ROGER M - Form 4

ARWOOD ROGER M  
Form 4  
February 10, 2003

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person\*

Arwood Roger M

(Last) (First) (Middle)

P.O. Box 565

(Street)

Yorktown IN 47396-0565

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

First Merchants Corporation - FRME

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

498-52-8816

4. Statement for Month/Day/Year

02/07/03

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer  
(Check all applicable)

☒ Director

☐ 10% Owner

☒ Officer (give title below)

☐ Other (specify below)

Executive Vice President and Chief Operating Officer

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7. Individual or Joint/Group Filing (Check applicable line)

- ☒ Form filed by one Reporting Person  
☐ Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8) |   | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                  | Price |
|---------------------------------------|-----------------------------------------|-----------------------------------------|---|----------------------------------------------------------------------------|------------------|-------|
|                                       |                                         | Code                                    | V | Amount                                                                     | (A)<br>or<br>(D) |       |
| Common                                | 02/07/03                                | M                                       |   | 331.86                                                                     | A                |       |
| Common                                | 02/07/03                                | D                                       |   | 331.86                                                                     | D                | 22.78 |

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b) (v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

| 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise | 3. | 4.<br>Trans- | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A) | 6.<br>Date<br>Exercisable and | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4) |
|----------------------------------------------|----|--------------|-------------------------------------------------------------|-------------------------------|---------------------------------------------------------------------------|
|----------------------------------------------|----|--------------|-------------------------------------------------------------|-------------------------------|---------------------------------------------------------------------------|

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| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | action<br>Code<br>(Instr.<br>8)<br>-----<br>Code V | or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | Expiration Date<br>(Month/Day/Year)<br>-----<br>Date Expira-<br>tion<br>Exer- cisable Date | -----<br>Amount<br>or<br>Number<br>of<br>Shares |
|--------------------------------------------------------|-------------------------------------------------|------------------------------------------------------|----------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------|-------------------------------------------------|
|--------------------------------------------------------|-------------------------------------------------|------------------------------------------------------|----------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------|-------------------------------------------------|

Employee Stock  
Option (Right to  
Buy)

| Deferred Stock<br>Units | 1 for 1 | 02/07/03 | M | 331.86 D | 02/07/03 | 02/07/03 | Common | 331.86 |
|-------------------------|---------|----------|---|----------|----------|----------|--------|--------|
|-------------------------|---------|----------|---|----------|----------|----------|--------|--------|

\*Reporting person received \$581.89 from accumulated dividend on year 2000  
deferred stock units.

/s/ Larry R. Helms

February 10, 2003

\*\*Signature of Reporting Person  
Roger M. Arwood  
(Confirming Statement on File)

Date

\*\* Intentional misstatements or omissions of facts constitute Federal  
Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.