ENTERGY CORP /DE/

Form 4 June 02, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

Number:

3235-0287

Expires:

January 31, 2005

0.5

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Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* FREDERICKSON PHILIP L

2. Issuer Name and Ticker or Trading Symbol

ENTERGY CORP /DE/ [ETR]

Issuer

(Last)

(City)

1. Title of

Security

(Instr. 3)

(First)

3. Date of Earliest Transaction

(Check all applicable)

5. Relationship of Reporting Person(s) to

C/O ENTERGY CORPORATION

(State)

(Month/Day/Year) 05/31/2016

X\_ Director Officer (give title below)

10% Owner Other (specify

LEGAL DEPARTMENT, 639 LOYOLA AVENUE, 26TH FLOOR

> (Street) 4. If Amendment, Date Original

> > (Month/Day/Year)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW ORLEANS, LA 70113

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Zip)

(Middle)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

Reported Transaction(s)

(A) or (Instr. 3 and 4)

Code V Amount

Price (D)

 $1,149^{(2)}$ 

Common Stock

05/31/2016

 $A^{(1)}$ 

748

\$0 A

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: ENTERGY CORP /DE/ - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Expiration De (Month/Day	Date	7. Title and A Underlying S (Instr. 3 and 4	Securities	8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Equity Units	<u>(3)</u>	05/31/2016		A(3)	198	(3)	(3)	Common Stock	198	\$0

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FREDERICKSON PHILIP L C/O ENTERGY CORPORATION LEGAL DEPARTMENT 639 LOYOLA AVENUE, 26TH FLOOR NEW ORLEANS, LA 70113



### **Signatures**

/s/ Daniel T. Falstad by power of attorney

06/02/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects phantom stock units acquired under Entergy Corporation's Service Recognition Program and each phantom stock unit is the economic equivalent of one share of Entergy common stock. The phantom stock units are vested at the time of grant and will be settled in shares of Entergy common stock after separation of service from Entergy's Board of Directors.
- (2) Includes 2 shares of Entergy common stock acquired pursuant to Entergy Corporation's dividend reinvestment plan.
- Quarterly grant of 198 shares of Entergy common stock pursuant to Entergy Corporation's 2015 Equity Ownership Plan deferred as equity units. Each unit is the economic equivalent of one share of Entergy common stock and at the end of the deferral period selected by the reporting person, the units will be distributed in cash.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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