### Edgar Filing: ENTERGY CORP /DE/ - Form 3

ENTERGY CORP /DE/

Form 3

November 04, 2015

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement ENTERGY CORP /DE/ [ETR] MITCHELL TIMOTHY G (Month/Day/Year) 10/30/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O ENTERGY (Check all applicable) **CORPORATION LEGAL** DEPARTMENT, Â 639 10% Owner Director LOYOLA AVENUE, 26TH Officer \_X\_ Other **FLOOR** (give title below) (specify below) Acting Chief Nuclear Officer (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person NEW ORLEANS. LAÂ 70113 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities 3. Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 9,544 D Common Stock 2,560 Ι By 401(k) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(1)	01/25/2017	Common Stock	6,100	\$ 91.82	D	Â
Stock Option (Right to Buy)	(1)	01/24/2018	Common Stock	8,000	\$ 108.2	D	Â
Stock Option (Right to Buy)	(1)	01/29/2019	Common Stock	6,000	\$ 77.53	D	Â
Stock Option (Right to Buy)	(1)	01/28/2020	Common Stock	14,000	\$ 77.1	D	Â
Stock Option (Right to Buy)	(1)	01/27/2021	Common Stock	8,000	\$ 72.79	D	Â
Stock Option (Right to Buy)	(1)	01/26/2022	Common Stock	10,000	\$ 71.3	D	Â
Stock Option (Right to Buy)	(2)	01/31/2023	Common Stock	11,000	\$ 64.6	D	Â
Stock Option (Right to Buy)	(3)	01/30/2024	Common Stock	11,800	\$ 63.17	D	Â
Stock Option (Right to Buy)	(4)	01/29/2025	Common Stock	12,000	\$ 89.9	D	Â
Restricted Stock Units	01/02/2016	01/02/2016	Common Stock	8,000 (5)	\$ 0	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer Other	

Acting Chief Nuclear

Officer

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MITCHELL TIMOTHY G C/O ENTERGY CORPORATION LEGAL DEPARTMENT 639 LOYOLA AVENUE, 26TH FLOOR NEW ORLEANS, LAÂ 70113

# **Signatures**

/s/ Daniel T. Falstad by power of attorney

11/04/2015

\*\*Signature of Reporting Person

Date

Reporting Owners 2

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person may exercise the options at any time.
- (2) 7,333 of the options may be exercised by the reporting person at any time. The remaining 3,667 options will become exercisable on January 31, 2016.
- (3) 3,933 of the options may be exercised by the reporting person at any time. The remaining 7,867 options will vest in two equal installments on January 30, 2016 and January 30, 2017.
- (4) The options will become exercisable in three equal annual installments on January 29, 2016, 2017 and 2018.
- (5) Each restricted stock unit represents a contingent right to receive for each vested unit the cash equivalent of a share of Entergy common stock.

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#### **Remarks:**

### Exhibit List - Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.