

Edgar Filing: ROBERTS GEOFFREY D - Form U-12-IB

ROBERTS GEOFFREY D  
Form U-12-IB  
February 14, 2002

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM U-12(I)-B (ANNUAL STATEMENT)  
Calendar Year 2002

STATEMENT PURSUANT TO SECTION 12(I) OF PUBLIC UTILITY HOLDING COMPANY ACT OF 1935 BY A PERSON REGULARLY EMPLOYED OR RETAINED BY A REGISTERED HOLDING COMPANY OR A SUBSIDIARY THEREOF AND WHOSE EMPLOYMENT CONTEMPLATES ONLY ROUTINE EXPENSES AS SPECIFIED IN RULE U-71(b)

(To be filed in DUPLICATE. If acknowledgment is desired, file in triplicate.)

1. Name and business address of person filing statement.

Geoff Roberts  
Energy Wholesale Operations  
20 Greenway Plaza, Suite 1025  
Houston, TX 77046

2. Names and business addresses of any persons through whom the undersigned proposes to act in matters included within the exemption provided by paragraph (b) of Rule U-71.

None

3. Registered holding companies and subsidiary companies by which the undersigned is regularly employed or retained.

Company	Title(s) Held
Energy Power Development Corporation	President, Director
Energy Enterprises, Inc.	President and Chief Executive Officer, Director
Energy Power Operations Corporation	President, Director
Energy Power, Inc.	President and Chief Executive Officer, Director
Energy Power Generation Corporation	President, Director
Energy Power Peru S.A.	Deputy General Manager
Energy Global Power Operations Corporation	President, Director
Energy Global Trading Holdings, Ltd.	President, Director
Energy Trading & Marketing Limited	Director
Warren Power, LLC	Manager
Clay County Power LLC	Manager

Edgar Filing: ROBERTS GEOFFREY D - Form U-12-IB

Entergy Power Holding Espana SL      Director  
 Entergy Power Development            Director  
 Espana SL  
 Entergy Power Projects Espana SL      Director  
 EntergyShaw, L.L.C.                    Management Committee Member

4. Position or relationship in which the undersigned is employed or retained by each of the companies named in Item 3, and brief description of nature of services to be rendered in each such position or relationship.

As (titles noted above) of the companies listed above, my duties may include, from time to time, presenting, advocating or opposing matters affecting Entergy Corporation and its subsidiary companies before Congress and members and committees thereof, and before this Commission and the Federal Energy Regulatory Commission and members, officers and employees of such Commissions.

The services required to be described in this form under Section 12(i) of the Public Utility Holding Company Act of 1935 are incidental to the undersigned's primary and principal duties and, although such services vary in extent from time to time, they represent overall only a comparatively minor portion of the total services rendered

5(a) Compensation received during the prior year and to be received during the calendar year by the undersigned or others, directly or indirectly, for services rendered by the undersigned, from each of the companies designated in Item 3. (Use column (a) as supplementary statement only.)

Name of Recipient	Salary or other compensation			Person or company from whom received or to be received
	During Prior Year	To be received		
	(a)	(b)		
Geoffrey D Roberts, Jr.	\$7500	\$7500		Entergy Enterprises, Inc.

5(b) Basis for compensation if other than salary.

None

6. (To be answered in supplementary statement only. See instructions.) Expenses incurred by the undersigned or any person named in Item 2, above, during the calendar year in connection with the activities described in Item 4, above, and the source or sources of reimbursement for same.

(a) Total amount of routine expenses charged to client: \$5,000.00  
 (b) Itemized list of all other expenses: No other expenses

In accordance with the provisions of subdivision (c) of Rule U-71, the undersigned files this statement as an advance statement.

Signed: /s/ Geoff Roberts                    Dated: January 28, 2002

