#### HILZINGER KURT J

Form 4

January 04, 2012

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* HILZINGER KURT J

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(First) (Middle) (Last)

HUMANA INC [HUM] 3. Date of Earliest Transaction

(Check all applicable)

**COURT SOUARE CAPITAL** PARTNERS, PARK AVENUE

(Street)

(State)

(Month/Day/Year)

X\_ Director 10% Owner Officer (give title Other (specify below)

PLAZA, 55 E 52ND ST, 34TH FL

4. If Amendment, Date Original

01/03/2012

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line)

(A)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10055

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Ownership Owned Indirect (I) Following (Instr. 4) (Instr. 4)

> Reported Transaction(s)

or (Instr. 3 and 4)

Humana Common

(City)

1. Title of

Security

(Instr. 3)

Code V Amount (D) Price

7,202 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: HILZINGER KURT J - Form 4

De Se	Title of erivative curity nstr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerci Expiration Dat (Month/Day/Y	e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
O: (3)	ption	\$ 16.455					07/10/2004	07/10/2013	Humana Common	15,000
O: (3)	ption	\$ 23.05					01/02/2005	01/02/2014	Humana Common	2,500
	tock nit (1)	<u>(1)</u>	01/03/2012		A	1,579	<u>(1)</u>	<u>(1)</u>	Humana Common	1,579
	cock nit <sup>(2)</sup>	<u>(2)</u>					(2)	(2)	Humana Common	85

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
coporting of the Filmer, reduced	Director	10% Owner	Officer	Other		
HILZINGER KURT J COURT SOLIARE CAPITAL PARTNERS						

COURT SQUARE CAPITAL PARTNERS
PARK AVENUE PLAZA, 55 E 52ND ST, 34TH FL
NEW YORK, NY 10055

## **Signatures**

Kurt J. Hilzinger 01/04/2012

\*\*Signature of Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Annual Director's fee payable in stock units which have been deferred at the election of the reporting person until his resignation of (1) services as a director at which time the stock units will be payable in Humana Inc. common stock on a 1-for-1 basis, exempt pursuant to Rule 16(b)-3(d)(1).

X

- Director's dividend payment reinvested into stock units on vested and deferred stock units, deferred in accordance with the Plan until his resignation of services as a director at which time the deferred dividend stock units will be payable in Humana Inc. common stock on a 1-for-1 basis, exempt pursuant to Rule16(b)-3(d).
- (3) Right to buy pursuant to Humana Inc.'s 2003 Stock Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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