

AMERICAN ELECTRIC POWER COMPANY INC
Form U-9C-3
March 30, 2001

File No. 074-00015

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT

For the quarter ended December 31, 2000

Filed Pursuant to Rule 58 of
the Public Utility Holding Company
Act of 1935

by
AMERICAN ELECTRIC POWER COMPANY, INC.
1 Riverside Plaza, Columbus, Ohio 43215

AMERICAN ELECTRIC POWER COMPANY, INC.
FORM U-9C-3
For the Quarter Ended December 31, 2000

CONTENTS

ITEM 1 - Organization Chart	1
ITEM 2 - Issuances and Renewals of Securities and Capital Contributions	2-3

Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

ITEM 3 - Associated Transactions	4
ITEM 4 - Summary of Aggregate Investment	5
ITEM 5 - Other Investments	5
ITEM 6 - Financial Statements and Exhibits	6-32
Statements of Income	
Balance Sheet	
Exhibits	

ITEM 1 - ORGANIZATION CHART

Name of Reporting Company	Energy or gas-related Company	Date of Organization	State of Organization	Percentage Of Voting Securities Held
AEP Energy Services, Inc. (AEPES)	Energy	September 24, 1996	Ohio	100%
Polk Power Partners, L.P. (Polk Cogen)	Energy	February 1992	Delaware	46.25%
Orange Cogeneration Limited Partnership (Orange Cogen)	Energy	February 1993	Delaware	50%
Brush Cogeneration Partners (Brush Cogen)	Energy	November 1991	Delaware	47.25%
Eastex Cogeneration Limited Partnership (ownership through various intermediate holding company subsidiaries originating with CSW Dev Energy Inc./CSW)	Energy	September 1998	Delaware	100%
Thermo Cogeneration Partnership, L.P. (Thermo Cogen) (ownership through intermediate holding company CSW Ft. Lupton, Inc./CSW Energy Inc./CSW)	Energy	April 1993	Delaware	50%
Sweeny Cogeneration Limited Partnership (Sweeny Cogen) (ownership through various intermediate holding company subsidiaries originating with CSW Swe CSW Sweeny LP I, Inc./CSW Energy Inc./CSW)	Energy	October 1995	Delaware	50%
Diversified Energy Contractors LLC (DECCO) (CSW Energy Inc. / CSW)	Energy	July 1997	Delaware	100%
CSW Power Marketing, Inc. (CSW Energy Inc. / CSW)	Energy	March 1996	Delaware	100%
CSW Services International,				

Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

Inc. (CSW Energy Inc. / CSW)	Energy	March 1997	Delaware	100%
Powerware Solutions, Inc. (PSO Investment)	Energy	February 1994	Texas	2.68%
Utility Data Resources, Inc. (CSW Energy Services, Inc. Investment)	Energy	December 1997	Delaware	4.5%
Nuvest, LLC (ESI Investment)	Energy	March 1996	Oklahoma	93%
AEMT, Inc. (PSO Investment)	Energy	December 1997	Florida	0%
CSW Energy Services, Inc. (ESI) (CSW)	Energy	September 1997	Delaware	100%
EnerShop (CSW)	Energy	September 1995	Delaware	100%
Energy Trading Platform Holding Company, Inc. (AEP Energy Services)	Energy	April 2000	Delaware	16.7%
Power-span Corp (AEP Pro-Serv Inc)	Energy		Delaware	9.8%
PHPK Technologies Inc. (AEP Investments)	Energy	July 1991	Ohio	40.4%
Altra Energy Technology (AEP Investments)	Energy	December 1997	Delaware	5%

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS

SECURITIES:

Company Issuing Security -----	Type of Security Issued -----	Principal Amount of Security -----	Issue or Renewal -----	Cost of Capital -----	Person to Whom Security Was Issued -----	Collateral Given With Security -----
(in thousands)						
None						

FINANCING AMONG ASSOCIATE COMPANIES:

Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

Company Issuing Security -----	Type of Security Issued -----	Principal Amount of Security -----	Issue or Renewal -----	Cost of Capital -----	Person to Whom Security Was Issued -----
Eastex Cogeneration LP	Loan Note	23,784	-	-	CSW Energy, Inc.
Diversified Energy Contractors Company, LLC	Loan Note	5,943	-	-	CSW Energy, Inc.
CSW Power Marketing, Inc.	Loan Note	178	-	-	CSW Energy, Inc.

CAPITAL CONTRIBUTIONS:

Company Contributing Capital -----	Company Receiving Capital -----	Amount of Capital Contributions -----
(in thousands)		

NONE

ITEM 3 - ASSOCIATED TRANSACTIONS

Part I -- Transactions performed by reporting companies on behalf of associate companies

Reporting Company Rendering Services -----	Associate Company Receiving Services -----	Types of Services Rendered -----	Direct Costs Charged -----	Indirect Costs Charged -----	Cost of Capital -----	Total Amount Billed -----
Nuvest, LLC	South Texas Project	Staff	\$ 436	-	-	\$ 436
Nuvest, LLC	CSWS	Engineering	17	-	-	17
Nuvest, LLC	D.C. Cook	Staff	3,960	-	-	3,960
CSW Services International Inc.	Fontera	Plant Services	1,067	109	-	1,176

Part II -- Transactions performed by associate companies on behalf of reporting companies

Associate Company Rendering Services -----	Reporting Company Receiving Services -----	Types of Services Rendered -----	Direct Costs Charged -----	Indirect Costs Charged -----
(in thousands)				
American Electric Power Service Corporation	AEPES	Administrative & Marketing	\$ 2,790	\$2,725

Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

AEP Resources Gas Holding Company	AEPES	Administrative	13,412	-
AEP Pro Serv, Inc.	AEPES	Administrative	345	-
CSW Energy, Inc.	Polk Power Partners, L.P.	Plant Services	555	184
CSW Energy, Inc.	Orange Cogeneration L.P.	Plant Services	515	191
CSW Dev I, Inc.	Polk Power Partners, L.P.	Plant Services	136	-
CSW Energy, Inc.	Eastex Cogeneration L.P.	Plant Services	503	317
CSW Services International Inc.	Sweeny Cogeneration L.P.	Plant Services	803	255
Total			----- \$19,059 =====	----- - ----- =====

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT Investments in energy-related companies:

(in thousands)

Total consolidated capitalization as of December 31, 2000	\$23,630,416 (a)	Line 1
Total capitalization multiplied by 15% (line 1 multiplied by 0.15)	3,544,562	Line 2
Greater of \$50 million or line 2	\$3,544,562	Line 3
Total current aggregate investment: (categorized by major line of energy-related business)		
Energy-related Category 1: Energy Services	1	
Energy-related Category 5: Energy Marketing	658,792	
Energy-related Category 7: Maintenance Services	50,574	
Energy-related Category 8: Qualifying Facility	136,856	
Energy-related Category 2,3,4,6,9,10	45,700	
Total current aggregate investment	891,923	Line 4

Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (line 3 less line 4)	\$2,652,639	Line 5
=====		

(a) Includes short-term debt.

Investments in gas-related companies:

NONE

ITEM 5 - OTHER INVESTMENTS

Major Line	Other	Other
------------	-------	-------

Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

of Energy-Related Business -----	Investment in Last U-9C-3 Report -----	Investment in This U-9C-3 Report -----	Reason for Difference in Other Investment -----
Energy services Invested prior to April 1, 1997	\$ 2,696	\$ 2,696	Amounts are excluded from Item
Maintenance services	0	0	
Qualifying facility Invested prior to April 1, 1997	\$194,644	\$194,644	Amounts are excluded from Item

ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

List all financial statements and exhibits filed as a part of this report.

Financial Statements:

Balance sheets for period ended December 31, 2000 are filed confidentially under a separate cover for: AEP Energy Services, Inc.

AEP Retail Energy, LLC
 CSW Development-I, Inc. (consolidated)
 CSW Ft. Lupton, Inc.
 Sweeny Companies (consolidated)
 Enershop, Inc.
 CSW Energy Services, Inc.
 CSW Power Marketing, Inc.
 Diversified Energy Contractors Company (DECCO)
 CSW Services International
 Eastex Cogeneration LP
 Nuvest, LLC

Income Statements as of the three months ended December 31, 2000 are filed confidentially under separate cover for: AEP Energy Services, Inc.

AEP Retail Energy, LLC
 CSW Development-I, Inc. (consolidated)
 CSW Ft. Lupton, Inc.
 Sweeny Companies (consolidated)
 Enershop, Inc.
 CSW Energy Services, Inc.
 CSW Power Marketing, Inc.
 Diversified Energy Contractors Company (DECCO)
 CSW Services International
 Eastex Cogeneration LP
 Nuvest, LLC

Exhibits:

1. Certificate stating that a copy of the U-9C-3 for the previous quarter has been filed with the Ohio, Kentucky, Virginia, West Virginia, Tennessee, Indiana, Arkansas, Louisiana, Oklahoma, Michigan and Texas State Commissions.

Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

2. Copies of other contracts required by Item 3 - filed under confidential treatment pursuant to Rule 104(b).

CERTIFICATE

The undersigned certifies that he is the duly designated and acting Treasurer of American Electric Power Company, Inc., a New York corporation ("AEP"), and that:

AEP's Quarterly Report on Form U-9C-3 filed pursuant to Rule 58 for the quarter ended September 30, 2000 (the "Quarterly Report on Form U-9C-3") was filed with each state commission having jurisdiction over the retail rates of the public utility companies that are associate companies of any of the reporting companies (the "Specific State Commissions").

The names and addresses of the Specific State Commissions are:

Indiana Utility Regulatory Commission
302 West Washington Street, E 306
Indianapolis, Indiana 46204

Kentucky Public Service Commission
730 Schenkel Lane
P.O. Box 615
Frankfort, Kentucky 40602

Michigan Public Service Commission
6545 Mercantile Way
P.O. Box 30221
Lansing, Michigan 48909

Public Service Commission of West Virginia
201 Brooks Street
P.O. Box 812
Charleston, West Virginia 25323

Tennessee Regulatory Authority
460 James Robertson Parkway
Nashville, Tennessee 37243-0505

The Public Utilities Commission of Ohio
180 East Broad Street
Columbus, Ohio 43215

Virginia State Corporation Commission
1300 East Main Street
P.O. Box 2118
Richmond, Virginia 23216

Arkansas Public Service Commission
1000 Center
P.O. Box 400
Little Rock, AR 72203-0400

Edgar Filing: AMERICAN ELECTRIC POWER COMPANY INC - Form U-9C-3

Louisiana Public Service Commission
One American Place
P.O. Box 91154
Baton Rouge, LA 70821-9154

Oklahoma Corporation Commission
2102 N. Lincoln Blvd.
P.O. Box 52000-2000
Oklahoma City, OK 73152-2000

Public Utility Commission of Texas
1701 N. Congress Avenue
Austin, TX 78701

IN WITNESS WHEREOF, I have hereunto set my hand as of the 30th day of
March, 2001.

/s/ A. A. Pena

A. A. Pena
Treasurer

SIGNATURE

The undersigned system company has duly caused this quarterly report to
be signed on its behalf by the undersigned, thereunto duly authorized, pursuant
to the requirements of the Public Utility Holding Company Act of 1935.

AMERICAN ELECTRIC POWER COMPANY, INC.

By /s/ A. A. Pena

A.A. Pena
Vice President

March 30, 2001

