

Edgar Filing: LEGG MASON INC - Form 13F-HR

LEGG MASON INC  
Form 13F-HR  
November 12, 2010

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Form 13F

Form 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: September 30, 2010

Check here if Amendment [ ] ; Amendment Number:  
This Amendment (Check only one.): [ ] is a restatement.  
[ ] adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Legg Mason, Inc.  
Address: 100 International Drive  
Baltimore, MD 21202

13F File Number: 28-1700

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: Joseph Sullivan  
Title: Chief Administrative Officer  
Phone: 410-454-4988

Signature, Place, and Date of Signing:

Joseph Sullivan Baltimore, MD November 12, 2010

Report Type (Check only one.):

[ ] 13F HOLDINGS REPORT.  
[ ] 13F NOTICE.  
[ X] 13F COMBINATION REPORT.

List of Other Managers Reporting for this Manager:

| Form 13F File Number | Name                                         |
|----------------------|----------------------------------------------|
| 28-3428              | Private Capital Management, L.P.             |
| 28-3780              | Royce & Associates, LLC                      |
| 28-3658              | Barrett Associates, Inc.                     |
| 28-                  | Permal Asset Management, Inc.                |
| 28-1801              | Bartlett & Co.                               |
| 28-0377              | Batterymarch Financial Management, Inc.      |
| 28-2204              | Brandywine Global Investment Management, LLC |

## Edgar Filing: LEGG MASON INC - Form 13F-HR

|          |                                              |
|----------|----------------------------------------------|
| 28-1701  | Legg Mason Capital Management, Inc.          |
| 28-6397  | Legg Mason Investment Counsel & Trust Co, NA |
| 28-6399  | LMM, LLC                                     |
| 28-10245 | Western Asset Management Company             |
| 28-6251  | Legg Mason International Equities            |
| 28-11345 | Legg Mason Investment Counsel, LLC           |
| 28-11611 | ClearBridge Advisors, LLC                    |
| 28-2568  | ClearBridge Asset Management, Inc.           |
| 28-11198 | Legg Mason Asset Management (Japan) Co. Ltd  |
| 28-13247 | Global Currents Investment Management, LLC   |

### FORM 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 1

Form13F Information Table Entry Total: 190

Form13F Information Table Value Total: \$56,958 (thousands)

List of Other Included Managers:

Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional managers with respect to which this report is filed, other than the manager filing this report.

| No. | 13F File Number | Name          |
|-----|-----------------|---------------|
| 1   | 28-             | Miscellaneous |

### FORM 13F INFORMATION TABLE

| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHARES/<br>PRN | SH/<br>PRN | PUT/<br>CALL | INVSTMT<br>DISCRETN | OTH<br>MANA |
|------------------------------|----------------|-----------|--------------------|----------------|------------|--------------|---------------------|-------------|
| ABBOTT LABS                  | COM            | 002824100 | 225                | 4300           | SH         |              | DEFINED             | 1           |
| ADVANCE AUTO PARTS INC       | COM            | 00751Y106 | 106                | 1800           | SH         |              | DEFINED             | 1           |
| AETNA INC NEW                | COM            | 00817Y108 | 114                | 3600           | SH         |              | DEFINED             | 1           |
| AFLAC INC                    | COM            | 001055102 | 337                | 6500           | SH         |              | DEFINED             | 1           |
| AGRIUM INC                   | COM            | 008916108 | 405                | 5400           | SH         |              | DEFINED             | 1           |
| ALLIED WRLD ASSUR COM HLDG L | SHS            | G0219G203 | 153                | 2700           | SH         |              | DEFINED             | 1           |
| ALLSCRIPTS HEALTHCARE SOLUTN | COM            | 01988P108 | 5                  | 251            | SH         |              | DEFINED             | 1           |
| AMERICAN EAGLE OUTFITTERS NE | COM            | 02553E106 | 4                  | 261            | SH         |              | DEFINED             | 1           |
| AMERICAN EXPRESS CO          | COM            | 025816109 | 240                | 5700           | SH         |              | DEFINED             | 1           |
| AMERICAN INTL GROUP INC      | COM NEW        | 026874784 | 4                  | 100            | SH         |              | DEFINED             | 1           |
| AMERIPRISE FINL INC          | COM            | 03076C106 | 81                 | 1700           | SH         |              | DEFINED             | 1           |
| AMERISOURCEBERGEN CORP       | COM            | 03073E105 | 65                 | 2100           | SH         |              | DEFINED             | 1           |
| AMGEN INC                    | COM            | 031162100 | 83                 | 1500           | SH         |              | DEFINED             | 1           |
| ANADARKO PETE CORP           | COM            | 032511107 | 748                | 13100          | SH         |              | DEFINED             | 1           |
| APACHE CORP                  | COM            | 037411105 | 568                | 5800           | SH         |              | DEFINED             | 1           |
| APARTMENT INVT & MGMT CO     | CL A           | 03748R101 | 10                 | 452            | SH         |              | DEFINED             | 1           |
| APPLE INC                    | COM            | 037833100 | 636                | 2240           | SH         |              | DEFINED             | 1           |

Edgar Filing: LEGG MASON INC - Form 13F-HR

|                              |                |           |      |       |    |         |   |
|------------------------------|----------------|-----------|------|-------|----|---------|---|
| APPLIED MATLS INC            | COM            | 038222105 | 51   | 4300  | SH | DEFINED | 1 |
| ARCH CAP GROUP LTD           | ORD            | G0450A105 | 587  | 7000  | SH | DEFINED | 1 |
| ARCHER DANIELS MIDLAND CO    | COM            | 039483102 | 186  | 5800  | SH | DEFINED | 1 |
| ARROW ELECTRS INC            | COM            | 042735100 | 156  | 5800  | SH | DEFINED | 1 |
| ASSURANT INC                 | COM            | 04621X108 | 273  | 6700  | SH | DEFINED | 1 |
| AT&T INC                     | COM            | 00206R102 | 758  | 26500 | SH | DEFINED | 1 |
| AVERY DENNISON CORP          | COM            | 053611109 | 82   | 2200  | SH | DEFINED | 1 |
| BANK NOVA SCOTIA HALIFAX     | COM            | 064149107 | 294  | 5500  | SH | DEFINED | 1 |
| BANK OF AMERICA CORPORATION  | COM            | 060505104 | 1081 | 82420 | SH | DEFINED | 1 |
| BARRICK GOLD CORP            | COM            | 067901108 | 315  | 6800  | SH | DEFINED | 1 |
| BB&T CORP                    | COM            | 054937107 | 11   | 422   | SH | DEFINED | 1 |
| BCE INC                      | COM NEW        | 05534B760 | 933  | 28700 | SH | DEFINED | 1 |
| BERKSHIRE HATHAWAY INC DEL   | CL B NEW       | 084670702 | 224  | 2700  | SH | DEFINED | 1 |
| BIG LOTS INC                 | COM            | 089302103 | 114  | 3400  | SH | DEFINED | 1 |
| BLOCK H & R INC              | COM            | 093671105 | 135  | 10400 | SH | DEFINED | 1 |
| BROOKFIELD ASSET MGMT INC    | CL A LTD VT SH | 112585104 | 208  | 7300  | SH | DEFINED | 1 |
| CA INC                       | COM            | 12673P105 | 193  | 9100  | SH | DEFINED | 1 |
| CARNIVAL CORP                | PAIRED CTF     | 143658300 | 4    | 101   | SH | DEFINED | 1 |
| CB RICHARD ELLIS GROUP INC   | CL A           | 12497T101 | 11   | 559   | SH | DEFINED | 1 |
| CELANESE CORP DEL            | COM SER A      | 150870103 | 42   | 1300  | SH | DEFINED | 1 |
| CHECK POINT SOFTWARE TECH LT | ORD            | M22465104 | 809  | 21900 | SH | DEFINED | 1 |
| CHEVRON CORP NEW             | COM            | 166764100 | 1123 | 13850 | SH | DEFINED | 1 |
| CHICOS FAS INC               | COM            | 168615102 | 6    | 519   | SH | DEFINED | 1 |
| CHIPOTLE MEXICAN GRILL INC   | COM            | 169656105 | 9    | 51    | SH | DEFINED | 1 |
| CHUBB CORP                   | COM            | 171232101 | 137  | 2400  | SH | DEFINED | 1 |
| CISCO SYS INC                | COM            | 17275R102 | 524  | 23900 | SH | DEFINED | 1 |
| CITIGROUP INC                | COM            | 172967101 | 208  | 52812 | SH | DEFINED | 1 |
| COACH INC                    | COM            | 189754104 | 318  | 7400  | SH | DEFINED | 1 |
| COCA COLA CO                 | COM            | 191216100 | 586  | 10000 | SH | DEFINED | 1 |
| COMCAST CORP NEW             | CL A           | 20030N101 | 217  | 12000 | SH | DEFINED | 1 |
| COMPUTER SCIENCES CORP       | COM            | 205363104 | 198  | 4300  | SH | DEFINED | 1 |
| CONOCOPHILLIPS               | COM            | 20825C104 | 394  | 6850  | SH | DEFINED | 1 |
| COVENTRY HEALTH CARE INC     | COM            | 222862104 | 35   | 1600  | SH | DEFINED | 1 |
| CPFL ENERGIA S A             | SPONSORED ADR  | 126153105 | 437  | 6200  | SH | DEFINED | 1 |
| CSX CORP                     | COM            | 126408103 | 150  | 2700  | SH | DEFINED | 1 |
| CUMMINS INC                  | COM            | 231021106 | 10   | 103   | SH | DEFINED | 1 |
| CVS CAREMARK CORPORATION     | COM            | 126650100 | 416  | 13200 | SH | DEFINED | 1 |
| DELL INC                     | COM            | 24702R101 | 700  | 53970 | SH | DEFINED | 1 |
| DELTA AIR LINES INC DEL      | COM NEW        | 247361702 | 342  | 29300 | SH | DEFINED | 1 |
| DEUTSCHE BANK AG             | NAMEN AKT      | D18190898 | 697  | 12684 | SH | DEFINED | 1 |
| DEVRY INC DEL                | COM            | 251893103 | 35   | 700   | SH | DEFINED | 1 |
| DOLLAR TREE INC              | COM            | 256746108 | 40   | 800   | SH | DEFINED | 1 |
| DONNELLEY R R & SONS CO      | COM            | 257867101 | 185  | 10900 | SH | DEFINED | 1 |
| DR REDDYS LABS LTD           | ADR            | 256135203 | 152  | 4700  | SH | DEFINED | 1 |
| DU PONT E I DE NEMOURS & CO  | COM            | 263534109 | 304  | 6800  | SH | DEFINED | 1 |
| DUKE REALTY CORP             | COM NEW        | 264411505 | 4    | 325   | SH | DEFINED | 1 |
| E TRADE FINANCIAL CORP       | COM NEW        | 269246401 | 5    | 325   | SH | DEFINED | 1 |
| EAST WEST BANCORP INC        | COM            | 27579R104 | 5    | 250   | SH | DEFINED | 1 |
| EASTMAN CHEM CO              | COM            | 277432100 | 52   | 700   | SH | DEFINED | 1 |
| EL PASO CORP                 | COM            | 28336L109 | 217  | 17500 | SH | DEFINED | 1 |
| ENDO PHARMACEUTICALS HLDGS I | COM            | 29264F205 | 256  | 7700  | SH | DEFINED | 1 |
| EQUITY RESIDENTIAL           | SH BEN INT     | 29476L107 | 7    | 146   | SH | DEFINED | 1 |
| ESSEX PPTY TR INC            | COM            | 297178105 | 7    | 58    | SH | DEFINED | 1 |
| EXXON MOBIL CORP             | COM            | 30231G102 | 655  | 10580 | SH | DEFINED | 1 |
| F5 NETWORKS INC              | COM            | 315616102 | 4    | 30    | SH | DEFINED | 1 |
| FASTENAL CO                  | COM            | 311900104 | 5    | 84    | SH | DEFINED | 1 |
| FIFTH THIRD BANCORP          | COM            | 316773100 | 6    | 470   | SH | DEFINED | 1 |
| GANNETT INC                  | COM            | 364730101 | 137  | 11133 | SH | DEFINED | 1 |
| GAP INC DEL                  | COM            | 364760108 | 99   | 5300  | SH | DEFINED | 1 |
| GENERAL ELECTRIC CO          | COM            | 369604103 | 499  | 30698 | SH | DEFINED | 1 |
| GOLDMAN SACHS GROUP INC      | COM            | 38141G104 | 183  | 1258  | SH | DEFINED | 1 |
| GOOGLE INC                   | CL A           | 38259P508 | 842  | 1600  | SH | DEFINED | 1 |

Edgar Filing: LEGG MASON INC - Form 13F-HR

|                              |                 |           |      |       |    |         |   |
|------------------------------|-----------------|-----------|------|-------|----|---------|---|
| HCC INS HLDGS INC            | COM             | 404132102 | 481  | 18400 | SH | DEFINED | 1 |
| HDFC BANK LTD                | ADR REPS 3 SHS  | 40415F101 | 535  | 2900  | SH | DEFINED | 1 |
| HERSHEY CO                   | COM             | 427866108 | 281  | 5900  | SH | DEFINED | 1 |
| HERTZ GLOBAL HOLDINGS INC    | COM             | 42805T105 | 5    | 464   | SH | DEFINED | 1 |
| HEWLETT PACKARD CO           | COM             | 428236103 | 522  | 12387 | SH | DEFINED | 1 |
| HOST HOTELS & RESORTS INC    | COM             | 44107P104 | 16   | 1040  | SH | DEFINED | 1 |
| HUMAN GENOME SCIENCES INC    | COM             | 444903108 | 5    | 150   | SH | DEFINED | 1 |
| ICICI BK LTD                 | ADR             | 45104G104 | 1312 | 26300 | SH | DEFINED | 1 |
| INFOSYS TECHNOLOGIES LTD     | SPONSORED ADR   | 456788108 | 478  | 7100  | SH | DEFINED | 1 |
| INTEL CORP                   | COM             | 458140100 | 684  | 35600 | SH | DEFINED | 1 |
| INTERNATIONAL BUSINESS MACHS | COM             | 459200101 | 1172 | 8731  | SH | DEFINED | 1 |
| INTERPUBLIC GROUP COS INC    | COM             | 460690100 | 6    | 596   | SH | DEFINED | 1 |
| INTL PAPER CO                | COM             | 460146103 | 257  | 11800 | SH | DEFINED | 1 |
| ISHARES INC                  | MSCI JAPAN      | 464286848 | 358  | 36194 | SH | DEFINED | 1 |
| ISHARES TR                   | BARCLYS TIPS BD | 464287176 | 238  | 2175  | SH | DEFINED | 1 |
| ISHARES TR                   | MSCI EAFE IDX   | 464287465 | 2587 | 47089 | SH | DEFINED | 1 |
| ISHARES TR                   | RUSSELL 2000    | 464287655 | 602  | 8904  | SH | DEFINED | 1 |
| ISHARES TR                   | RUSSELL1000VAL  | 464287598 | 2643 | 44797 | SH | DEFINED | 1 |
| ISHARES TR                   | RUSSELL1000GRW  | 464287614 | 1133 | 22046 | SH | DEFINED | 1 |
| ITT CORP NEW                 | COM             | 450911102 | 104  | 2200  | SH | DEFINED | 1 |
| J CREW GROUP INC             | COM             | 46612H402 | 3    | 87    | SH | DEFINED | 1 |
| JPMORGAN CHASE & CO          | COM             | 46625H100 | 881  | 23120 | SH | DEFINED | 1 |
| KEYCORP NEW                  | COM             | 493267108 | 6    | 636   | SH | DEFINED | 1 |
| LAS VEGAS SANDS CORP         | COM             | 517834107 | 11   | 300   | SH | DEFINED | 1 |
| LILLY ELI & CO               | COM             | 532457108 | 190  | 5200  | SH | DEFINED | 1 |
| LIMITED BRANDS INC           | COM             | 532716107 | 375  | 14000 | SH | DEFINED | 1 |
| LOEWS CORP                   | COM             | 540424108 | 152  | 4000  | SH | DEFINED | 1 |
| LORILLARD INC                | COM             | 544147101 | 41   | 500   | SH | DEFINED | 1 |
| M & T BK CORP                | COM             | 55261F104 | 33   | 400   | SH | DEFINED | 1 |
| MACERICH CO                  | COM             | 554382101 | 5    | 100   | SH | DEFINED | 1 |
| MACYS INC                    | COM             | 55616P104 | 49   | 2100  | SH | DEFINED | 1 |
| MARATHON OIL CORP            | COM             | 565849106 | 39   | 1150  | SH | DEFINED | 1 |
| MARSHALL & ILSLEY CORP NEW   | COM             | 571837103 | 3    | 400   | SH | DEFINED | 1 |
| MASSEY ENERGY COMPANY        | COM             | 576206106 | 8    | 230   | SH | DEFINED | 1 |
| MEADWESTVACO CORP            | COM             | 583334107 | 188  | 7700  | SH | DEFINED | 1 |
| MEDCO HEALTH SOLUTIONS INC   | COM             | 58405U102 | 328  | 6300  | SH | DEFINED | 1 |
| MERCK & CO INC NEW           | COM             | 58933Y105 | 221  | 6000  | SH | DEFINED | 1 |
| METLIFE INC                  | COM             | 59156R108 | 535  | 13900 | SH | DEFINED | 1 |
| MGM RESORTS INTERNATIONAL    | COM             | 552953101 | 8    | 690   | SH | DEFINED | 1 |
| MICRON TECHNOLOGY INC        | COM             | 595112103 | 271  | 37450 | SH | DEFINED | 1 |
| MICROSOFT CORP               | COM             | 594918104 | 1365 | 55700 | SH | DEFINED | 1 |
| MOSAIC CO                    | COM             | 61945A107 | 547  | 9300  | SH | DEFINED | 1 |
| MURPHY OIL CORP              | COM             | 626717102 | 168  | 2700  | SH | DEFINED | 1 |
| MYLAN INC                    | COM             | 628530107 | 38   | 2000  | SH | DEFINED | 1 |
| NAVISTAR INTL CORP NEW       | COM             | 63934E108 | 175  | 4000  | SH | DEFINED | 1 |
| NEW YORK CMNTY BANCORP INC   | COM             | 649445103 | 142  | 8700  | SH | DEFINED | 1 |
| NEWMONT MINING CORP          | COM             | 651639106 | 415  | 6600  | SH | DEFINED | 1 |
| NISOURCE INC                 | COM             | 65473P105 | 133  | 7600  | SH | DEFINED | 1 |
| NORDSTROM INC                | COM             | 655664100 | 93   | 2500  | SH | DEFINED | 1 |
| NORTHROP GRUMMAN CORP        | COM             | 666807102 | 67   | 1100  | SH | DEFINED | 1 |
| NRG ENERGY INC               | COM NEW         | 629377508 | 492  | 23600 | SH | DEFINED | 1 |
| ORACLE CORP                  | COM             | 68389X105 | 634  | 23600 | SH | DEFINED | 1 |
| PEPSICO INC                  | COM             | 713448108 | 226  | 3400  | SH | DEFINED | 1 |
| PETSMART INC                 | COM             | 716768106 | 231  | 6600  | SH | DEFINED | 1 |
| PFIZER INC                   | COM             | 717081103 | 507  | 29500 | SH | DEFINED | 1 |
| PHILIP MORRIS INTL INC       | COM             | 718172109 | 647  | 11539 | SH | DEFINED | 1 |
| PINNACLE WEST CAP CORP       | COM             | 723484101 | 236  | 5700  | SH | DEFINED | 1 |
| PNC FINL SVCS GROUP INC      | COM             | 693475105 | 167  | 3200  | SH | DEFINED | 1 |
| POSCO                        | SPONSORED ADR   | 693483109 | 468  | 4100  | SH | DEFINED | 1 |
| PRICELINE COM INC            | COM NEW         | 741503403 | 4    | 11    | SH | DEFINED | 1 |
| PRINCIPAL FINL GROUP INC     | COM             | 74251V102 | 6    | 214   | SH | DEFINED | 1 |
| PROCTER & GAMBLE CO          | COM             | 742718109 | 816  | 13600 | SH | DEFINED | 1 |

Edgar Filing: LEGG MASON INC - Form 13F-HR

|                              |               |           |      |       |    |         |   |
|------------------------------|---------------|-----------|------|-------|----|---------|---|
| PROTECTIVE LIFE CORP         | COM           | 743674103 | 12   | 531   | SH | DEFINED | 1 |
| PRUDENTIAL FINL INC          | COM           | 744320102 | 223  | 4100  | SH | DEFINED | 1 |
| RESEARCH IN MOTION LTD       | COM           | 760975102 | 517  | 10600 | SH | DEFINED | 1 |
| ROCK-TENN CO                 | CL A          | 772739207 | 120  | 2400  | SH | DEFINED | 1 |
| ROSS STORES INC              | COM           | 778296103 | 274  | 5000  | SH | DEFINED | 1 |
| RYDER SYS INC                | COM           | 783549108 | 101  | 2350  | SH | DEFINED | 1 |
| SARA LEE CORP                | COM           | 803111103 | 187  | 13900 | SH | DEFINED | 1 |
| SEARS HLDGS CORP             | COM           | 812350106 | 3    | 36    | SH | DEFINED | 1 |
| SERVICE CORP INTL            | COM           | 817565104 | 183  | 21200 | SH | DEFINED | 1 |
| SIRIUS XM RADIO INC          | COM           | 82967N108 | 3    | 1851  | SH | DEFINED | 1 |
| SK TELECOM LTD               | SPONSORED ADR | 78440P108 | 689  | 39400 | SH | DEFINED | 1 |
| SOUFUN HLDGS LTD             | ADR           | 836034108 | 170  | 2600  | SH | DEFINED | 1 |
| SOUTHWESTERN ENERGY CO       | COM           | 845467109 | 2    | 56    | SH | DEFINED | 1 |
| ST JUDE MED INC              | COM           | 790849103 | 296  | 7500  | SH | DEFINED | 1 |
| SUNTRUST BKS INC             | COM           | 867914103 | 11   | 410   | SH | DEFINED | 1 |
| SYMANTEC CORP                | COM           | 871503108 | 91   | 6000  | SH | DEFINED | 1 |
| SYNOPSIS INC                 | COM           | 871607107 | 140  | 5650  | SH | DEFINED | 1 |
| SYSCO CORP                   | COM           | 871829107 | 35   | 1200  | SH | DEFINED | 1 |
| TARGET CORP                  | COM           | 87612E106 | 262  | 4881  | SH | DEFINED | 1 |
| TATA MTRS LTD                | SPONSORED ADR | 876568502 | 210  | 8200  | SH | DEFINED | 1 |
| TCF FINL CORP                | COM           | 872275102 | 217  | 13400 | SH | DEFINED | 1 |
| TECK RESOURCES LTD           | CL B          | 878742204 | 371  | 9000  | SH | DEFINED | 1 |
| TECO ENERGY INC              | COM           | 872375100 | 160  | 9200  | SH | DEFINED | 1 |
| TEVA PHARMACEUTICAL INDS LTD | ADR           | 881624209 | 1140 | 21600 | SH | DEFINED | 1 |
| TJX COS INC NEW              | COM           | 872540109 | 331  | 7400  | SH | DEFINED | 1 |
| TORCHMARK CORP               | COM           | 891027104 | 351  | 6600  | SH | DEFINED | 1 |
| TORONTO DOMINION BK ONT      | COM NEW       | 891160509 | 180  | 2480  | SH | DEFINED | 1 |
| TRAVELERS COMPANIES INC      | COM           | 89417E109 | 141  | 2700  | SH | DEFINED | 1 |
| TRW AUTOMOTIVE HLDGS CORP    | COM           | 87264S106 | 8    | 174   | SH | DEFINED | 1 |
| TYSON FOODS INC              | CL A          | 902494103 | 182  | 11300 | SH | DEFINED | 1 |
| UAL CORP                     | COM NEW       | 902549807 | 5    | 175   | SH | DEFINED | 1 |
| UBS AG                       | SHS NEW       | H89231338 | 78   | 4563  | SH | DEFINED | 1 |
| UDR INC                      | COM           | 902653104 | 14   | 620   | SH | DEFINED | 1 |
| UGI CORP NEW                 | COM           | 902681105 | 66   | 2300  | SH | DEFINED | 1 |
| UNITEDHEALTH GROUP INC       | COM           | 91324P102 | 882  | 25100 | SH | DEFINED | 1 |
| URBAN OUTFITTERS INC         | COM           | 917047102 | 4    | 102   | SH | DEFINED | 1 |
| US BANCORP DEL               | COM NEW       | 902973304 | 10   | 450   | SH | DEFINED | 1 |
| VANGUARD INDEX FDS           | REIT ETF      | 922908553 | 2655 | 50974 | SH | DEFINED | 1 |
| VANGUARD INDEX FDS           | STK MRK ETF   | 922908769 | 158  | 2700  | SH | DEFINED | 1 |
| VANGUARD INTL EQUITY INDEX F | EMR MKT ETF   | 922042858 | 388  | 8532  | SH | DEFINED | 1 |
| VIACOM INC NEW               | CL B          | 92553P201 | 192  | 5300  | SH | DEFINED | 1 |
| VORNADO RLTY TR              | SH BEN INT    | 929042109 | 9    | 100   | SH | DEFINED | 1 |
| WAL MART STORES INC          | COM           | 931142103 | 296  | 5530  | SH | DEFINED | 1 |
| WEBMD HEALTH CORP            | COM           | 94770V102 | 3    | 50    | SH | DEFINED | 1 |
| WELLS FARGO & CO NEW         | COM           | 949746101 | 215  | 8500  | SH | DEFINED | 1 |
| WENDYS ARBYS GROUP INC       | COM           | 950587105 | 3    | 586   | SH | DEFINED | 1 |
| WESTAR ENERGY INC            | COM           | 95709T100 | 97   | 4000  | SH | DEFINED | 1 |
| WILLIS GROUP HOLDINGS PUBLIC | SHS           | G96666105 | 469  | 15200 | SH | DEFINED | 1 |
| WMS INDS INC                 | COM           | 929297109 | 4    | 89    | SH | DEFINED | 1 |