Edgar Filing: Chen Herald Y - Form 4

Chen Herald Y								
Form 4								
July 08, 2011							PPROVAL	
FORM 4 UNITED	STATES SECUR Was	TTIES AN			COMMISSION		3235-0287	
Subject to Section 16. Form 4 or Form 5 Filed pur	IENT OF CHAN suant to Section 10 a) of the Public Ut 30(h) of the In	GES IN BI SECURIT 6(a) of the S ility Holdin	ENEFI(FIES Securitient ng Comp	CIAL OW es Exchang pany Act o	ge Act of 1934, f 1935 or Sectio	Expires: Estimated a burden hou response	irs per	
(Print or Type Responses)								
1. Name and Address of Reporting Chen Herald Y	Symbol	2. Issuer Name and Ticker or Trading Symbol EASTMAN KODAK CO [EK]			5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (N	Middle) 3. Date of	Earliest Trans	saction		(Chec	k all applicable	e)	
343 STATE STREET	Day/Year) 2011			_X_ Director 10% Owner Officer (give title Other (specify below) below)				
(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
ROCHESTER, NY 14650						Iore than One Re		
(City) (State)	(Zip) Table	e I - Non-Der	ivative S	ecurities Ac	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)2. Transaction Dat (Month/Day/Year)		Transaction Code I	Disposed (Instr. 3, 4	(A) or of (D) 4 and 5) (A) or	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock					16,670	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D) Date Exercisable	Expiration Date	Title	Amount Number Shares
Option (right to buy)	\$ 4.52					<u>(1)</u>	12/06/2016	Common Stock	18,18
Option (right to buy)	\$ 3.4					<u>(1)</u>	02/27/2018	Common Stock	34,14
Phantom Stock Units	<u>(2)</u>	07/06/2011		D	12,411.35 (<u>3)</u>	<u>(4)</u>	(4)	Common Stock	12,411

Reporting Owners

Reporting Owner Name / Address		Relationsh					
reporting o when reality realities	Director	10% Owner	Officer	Other			
Chen Herald Y 343 STATE STREET ROCHESTER, NY 14650	Х						
Signatures							
Patrick M. Sheller, as attorney- Chen	r Herald	(07/08/2011				
**Signature of Reporting Person				Date			
Explanation of Responses:							
* If the form is filed by more than one reporting person, <i>see</i> Instruction 4(b)(v).							

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These options vest one year after date of grant.

- (2) These units convert on a one-to-one basis.
- (3) Grant of shares in partial payment of annual retainer.
- (4) This date is not applicable to these units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.