PTC INC. Form 4 March 03, 2017

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, of the Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading FERNANDEZ PHILLIP M Issuer Symbol PTC INC. [PTC] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ Director 10% Owner Officer (give title \_ Other (specify PTC INC., 140 KENDRICK 03/01/2017 below) STREET 4. If Amendment, Date Original (Street) 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting NEEDHAM, MA 02494

| (City)                               | (State)                              | Table                             | I - Non-Do               | erivative S                                     | ecuritie | es Acq   | uired, Disposed o  | f, or Beneficial           | ly Owned             |
|--------------------------------------|--------------------------------------|-----------------------------------|--------------------------|---|----------|--|--|----------------------------|----------------------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any | 3.<br>Transactio<br>Code | 4. Securities Acquired on(A) or Disposed of (D) |          | 5. Amount of 6. Ownership<br>Securities Form: Direct Beneficially (D) or |  |                            |                      |
|                                      |                                      | (Month/Day/Year)                  | (Instr. 8)  Code V       | (Instr. 3, 4) Amount                            | (A) or   | Price  | Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | Indirect (I)<br>(Instr. 4) | Ownership (Instr. 4) |
| Common<br>Stock                      | 03/01/2017                           |                                   | M                        | 13,075  | A        | \$ 0   | 13,075   | D                          |                      |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: PTC INC. - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |       | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|---|---|---------------------------------------|---|-------|--|--------------------|---|--|
|   |   |   |   | Code V                                | (A)   | (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Restricted<br>Stock<br>Units                        | (1)   | 03/01/2017                              |   | M                                     |   | 5,604 | (2)  | (2)                | Common<br>Stock   | 5,604                                  |
| Restricted<br>Stock<br>Units                        | (1)   | 03/01/2017                              |   | M                                     |   | 7,471 | (3)  | (3)                | Common<br>Stock   | 7,471                                  |
| Restricted<br>Stock<br>Units                        | (1)   | 03/01/2017                              |   | A                                     | 4,484   |       | <u>(4)</u>   | <u>(4)</u>         | Common<br>Stock   | 4,484                                  |

### **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
| <b>F-</b>   | Director      | 10% Owner | Officer | Other |  |  |  |
| FERNANDEZ PHILLIP M<br>PTC INC.<br>140 KENDRICK STREET<br>NEEDHAM, MA 02494 | X             |           |         |       |  |  |  |

## **Signatures**

/s/ Deanna Osganian by power of attorney filed 03/03/2017

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of PTC Inc. common stock.
- (2) Time-based restricted stock units that vest in two substantially equal installments, the first installment of which vested on March 1, 2017 and the second installment of which will vest on the earlier of the date of the 2018 Annual Meeting of Stockholders and March 15, 2018.
- (3) Time-based restricted stock units that vested on March 1, 2017.
- (4) Time-based restricted stock units that vest on the earlier of the date of PTC Inc.'s 2018 Annual Stockholder Meeting or March 15, 2018.
- (5) This amount represents the total number of derivative securities beneficially owned of the class shown.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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