

PROVIDENT FINANCIAL SERVICES INC

Form 4

August 03, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**KUNTZ JOHN F**

2. Issuer Name and Ticker or Trading Symbol  
**PROVIDENT FINANCIAL SERVICES INC [PFS]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
**239 WASHINGTON STREET**  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
**08/02/2016**

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
EVP, General Counsel, Secretary

**JERSEY CITY, NJ 07302**

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	08/02/2016		M		10,000 (1)	A	\$ 18.87 78,614 D
Common Stock	08/02/2016		S		426	D	\$ 20.115 78,188 D
Common Stock	08/02/2016		S		100	D	\$ 20.11 78,088 D
Common Stock	08/02/2016		S		900	D	\$ 20.105 77,188 D
Common Stock	08/02/2016		S		1,500	D	\$ 20.1025 75,688 D

Edgar Filing: PROVIDENT FINANCIAL SERVICES INC - Form 4

Common Stock	08/02/2016	S	3,932	D	\$ 20.1	71,756	D	
Common Stock	08/02/2016	S	275	D	\$ 20.095	71,481	D	
Common Stock	08/02/2016	S	200	D	\$ 20.0925	71,281	D	
Common Stock	08/02/2016	S	800	D	\$ 20.09	70,481	D	
Common Stock	08/02/2016	S	1,000	D	\$ 20.08	69,481	D	
Common Stock	08/02/2016	S	200	D	\$ 20.07	69,281	D	
Common Stock	08/02/2016	S	667	D	\$ 20.05	68,614	D	
Common Stock						4,098 <sup>(2)</sup>	I	By 401(k)
Common Stock						16,494 <sup>(2)</sup>	I	By ESOP
Common Stock						500	I	By Wife's IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 18.87	08/02/2016		M	10,000	09/21/2007	09/21/2016	Common Stock	10,000
Stock Options	\$ 10.4					02/03/2010	02/03/2019	Common Stock	7,780

Stock Options	\$ 17.94	01/29/2008	01/29/2017	Common Stock	10,698
Stock Options	\$ 10.34	03/04/2013	03/04/2023	Common Stock	13,403
Stock Options	\$ 10.4	02/03/2012	02/03/2019	Common Stock	2,324
Stock Options	\$ 12.54	01/29/2009	01/29/2018	Common Stock	5,640

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KUNTZ JOHN F 239 WASHINGTON STREET JERSEY CITY, NJ 07302			EVP, General Counsel, Secretary	

## Signatures

/s/ Leonard G. Gleason, Pursuant to Power of Attorney

08/03/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of stock options expiring on September 21, 2016
- (2) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (3) Stock options have fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.