MIR GASPER III Form 4

February 20, 2018

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Washington, D.C. 20549

Check this box if no longer subject to

Section 16. Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

**OMB APPROVAL** 

**OMB** Number:

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(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* MIR GASPER III

> (First) (Middle)

13111 NORTHWEST FREEWAY, SUITE 600

(Street)

2. Issuer Name and Ticker or Trading Symbol

LUBYS INC [LUB]

3. Date of Earliest Transaction (Month/Day/Year)

12/11/2017

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner Officer (give title \_ Other (specify below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

HOUSTON, TX 77040

(City)	(State) (Z	Zip) Table	e I - Non-D	erivative	Secur	ities Acc	quired, Disposed	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Date, if Transaction Code		ispose 4 and (A)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	12/11/2017		S	3,110	D	\$ 2.81	112,075	D	
Common Stock	12/11/2017		S	546	D	\$ 2.73	111,529	D	
Common Stock	12/12/2017		S	1,253	D	\$ 2.75	110,276	D	
Common Stock	12/12/2017		S	2,344	D	\$ 2.74	107,932	D	
Common Stock	12/12/2017		S	1,837	D	\$ 2.73	106,095	D	

#### Edgar Filing: MIR GASPER III - Form 4

Common Stock 12/12/2017 S 1,364 D \$ 104,731 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title o Derivativ Security (Instr. 3)	ce Conversion or Exercise	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	Securities Acquired			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo
				(A) or Disposed						Repo Trans
				of (D)						(Instr
				(Instr. 3,						(IIIStI
				4, and 5)						
								Amount		
					Date Exercisable	Expiration Date	Title	or Number of		
			Code V	(A) (D)				Shares		

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

MIR GASPER III
13111 NORTHWEST FREEWAY
SUITE 600
HOUSTON, TX 77040

### **Signatures**

/s/ Gasper Mir,
III 02/20/2018

\*\*Signature of Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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