Edgar Filing: National General Holdings Corp. - Form 4

National General Holdings Corp. Form 4 November 12, 2015

\$.01 par

value (1)

November	12, 2015									
FORM	Л 4				~~~ .			OMB AF	PROVAL	
	UNITED	STATES SECU Wa	RITIES A ashington			ANGE CO	OMMISSION	OMB Number:	3235-0287	
Check t if no loi	agar									
subject Section Form 4	to STATEN 16. or			Expires: 20 Estimated average burden hours per response (
Form 5 obligati may con <i>See</i> Inst 1(b).	ons ntinue. Section 17(suant to Section a) of the Public U 30(h) of the I	Jtility Ho	lding Cor	npan	y Act of 1		l		
(Print or Type	Responses)									
1. Name and Newgarder	Symbol	Symbol				5. Relationship of Reporting Person(s) to Issuer				
		National General Holdings Corp. [NGHC]					(Check all applicable)			
(Last)	(Month/	3. Date of Earliest Transaction (Month/Day/Year)				Director 10% Owner X Officer (give title Other (specify below) below)				
C/O NGHO 38TH FLO	C, 59 MAIDEN L. OR	ANE, 11/10/	2015			0		ve Vice Preside	ent	
	(Street)		nendment, D onth/Day/Yea	-	ıl	А	. Individual or Joi pplicable Line) X_ Form filed by O			
NEW YOF	RK, NY 10038					_	Form filed by Me erson			
(City)	(State)	(Zip) Tal	ble I - Non-	Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) 2A. Deemed Execution Dat any (Month/Day/Y		ate, if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
0			Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock, \$.01 par value (1)	11/10/2015		М	60,000	A	\$ 10.5	60,100	D		
Common Stock,			a	60 6 6 6		\$	100			
¢ 01	11/10/2015		S	60,000	D	21.5261	100	D		

(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase Common Stock	\$ 10.5	11/10/2015		М		60,000	06/06/2014	06/06/2023	Common Stock, \$.01 par value	60,000

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Newgarden Thomas C/O NGHC 59 MAIDEN LANE, 38TH FLOOR NEW YORK, NY 10038			Executive Vice President			

Signatures

**Signature of

Reporting Person

/s/ Thomas Newgarden 11/12/2015

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 6, 2015.
- Reflects a weighted average sale price of \$21.5261 per share, at prices ranging from \$21.45 to \$21.81 per share. The reporting person will
- (2) provide, upon request by the staff of the Securities and Exchange Commission, the Company, or a security holder of the Company, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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