

INTERNATIONAL BUSINESS MACHINES CORP

Form 4

November 17, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Rosamilia Thomas W

2. Issuer Name and Ticker or Trading Symbol
INTERNATIONAL BUSINESS MACHINES CORP [IBM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

____ Director _____ 10% Owner
____ Officer (give title below) _____ Other (specify below)

IBM CORPORATION, 294 ROUTE 100

11/16/2015

Senior Vice President

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

SOMERS, NY 10589

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	11/16/2015		S ⁽¹⁾	38 D \$ 132.42	22,542.729	D	
Common Stock	11/16/2015		S ⁽¹⁾	1,123 D \$ 132.44	21,419.729	D	
Common Stock	11/16/2015		S ⁽¹⁾	1,400 D \$ 132.45	20,019.729	D	
Common Stock	11/16/2015		S ⁽¹⁾	600 D \$ 132.46	19,419.729	D	
Common Stock	11/16/2015		S ⁽¹⁾	2,805 D \$ 132.47	16,614.729	D	

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Common Stock	11/16/2015	S ⁽¹⁾	510	D	\$ 132.48	16,104.729	D
Common Stock	11/16/2015	S ⁽¹⁾	5,700	D	\$ 132.49	10,404.729	D
Common Stock	11/16/2015	S ⁽¹⁾	1,293	D	\$ 132.5	9,111.729	D
Common Stock	11/16/2015	S ⁽¹⁾	630	D	\$ 132.51	8,481.729	D
Common Stock	11/16/2015	S ⁽¹⁾	120	D	\$ 132.52	8,361.729	D
Common Stock	11/16/2015	S ⁽¹⁾	100	D	\$ 132.54	8,261.729	D
Common Stock	11/16/2015	S ⁽¹⁾	681	D	\$ 132.56	7,580.729	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. I. De	
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)
Phantom Stock Unit	\$ 0 ⁽²⁾	11/16/2015		I ⁽¹⁾⁽³⁾	14,962	⁽⁴⁾	⁽⁴⁾	Common Stock	14,962 \$

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Rosamilia Thomas W IBM CORPORATION			Senior Vice President	

294 ROUTE 100
SOMERS, NY 10589

Signatures

M.Clemens on behalf of T. W.
Rosamilia

11/17/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The transactions reported above consist of a disposition of 15,000 shares of Common Stock and an intra-plan transfer under the IBM Excess 401(k) Plus Plan of 14,962 phantom stock units into the IBM Stock Fund.
- (2) Phantom stock units convert to the cash value of the company's common stock on a one-for-one basis.
- (3) Intra-plan transfer under the IBM Excess 401(k) Plus Plan.
- (4) Distribution of phantom stock units under the IBM Excess 401(k) Plus Plan is deferred until separation from the company. The reporting person may transfer these phantom stock units into an alternative investment account under such plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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