

Edgar Filing: WisdomTree Investments, Inc. - Form SC 13G

WisdomTree Investments, Inc.
Form SC 13G
February 06, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13G

made on February 6, 2014.

Under the Securities Exchange Act of 1934

Issuer-WisdomTree Investments, Inc.

Securities Class-common

CUSIP Number-97717P104

Event Requiring Filing -December 31, 2012

Filed pursuant to Rule 13d-1(b)

CUSIP Number 97717P104

Reporter- Horizon Kinetics LLC
Tax ID#45-0642972

Place of Organization-Delaware

Number of Shares-sole voting power-2,186,839
Sole dispositive power-2,186,839
Amount beneficially owned-2,186,839

Percent of Class represented by above-1.69%

Type of Reporting Person-HC

Name of Issuer-WisdomTree Investments, Inc.
Address of Issuer-380 Madison Avenue, 21st Floor, New York, New York 10017

Name of Person Filing-Horizon Kinetics LLC
Address -470 Park Avenue South, 4th Floor South, New York, New York 10016
Citizenship-Delaware
Securities Class-common stock

Filing re Section 240.13d-1(b) by investment
adviser under Section 240.13d-1(b) (1) (ii) (E) .
Amount beneficially owned-2,186,839
Percent of class-1.69%
sole voting power-2,186,839
shared power to vote or direct the vote-0
sole power to dispose/direct the disposition-2,186,839
shared power to dispose/direct the disposition-0

Certification pursuant to Section 240.13d-1(b):

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By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date-February 6, 2014

Signature-Andrew M. Fishman
Title-Associate General Counsel
Horizon Kinetics LLC