

HomeStreet, Inc.  
Form 4  
December 18, 2013

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BENNION RICHARD W H

2. Issuer Name and Ticker or Trading Symbol  
HomeStreet, Inc. [HMST]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
601 UNION STREET, SUITE 2000  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
12/12/2013

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

EVP - Res. Lending Director

SEATTLE, WA 98101

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |                       |   |   |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|-----------------------|---|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |                       |   |   |
| Common Stock                    | 12/12/2013                           |  | M                              |   | 16,000  | A  | \$ 0.75   | 26,856 <sup>(1)</sup> | I | Bennion Revocable Living Trust <sup>(2)</sup> |
| Common Stock                    | 12/12/2013                           |  | S                              |   | 7,500   | D  | \$ 20.348   | 19,356                | I | Bennion Revocable Living Trust <sup>(2)</sup> |
| Common Stock                    |                                      |  |                                |   |   |  |   | 10,070.4              | D |   |
|                                 |                                      |  |                                |   |   |  |   | 21,334.09             | I |   |

Edgar Filing: HomeStreet, Inc. - Form 4

Common  
Stock

HomeStreet,  
Inc. 401(k)  
Savings Plan  
(3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |        |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|--------|
|  |  |                                      |  |                                | Date Exercisable  | Expiration Date  | Title   |                            |        |
|  |  |                                      |  |                                | Code  | V  | (A)   | (D)                        |        |
| Employee Stock Option (Right To Buy)       | \$ 0.75  | 12/12/2013                           |  | M                              | 16,000  | (4)  | 11/29/2020  | Common Stock               | 16,000 |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                             |       |
|--|---------------|-----------|-----------------------------|-------|
|  | Director      | 10% Owner | Officer                     | Other |
| BENNION RICHARD W H<br>601 UNION STREET, SUITE 2000<br>SEATTLE, WA 98101 |               |           | EVP - Res. Lending Director |       |

## Signatures

/s/ Godfrey B. Evans, attorney in fact for Richard W.H.  
Bennion

12/18/2013

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

## Edgar Filing: HomeStreet, Inc. - Form 4

- (1) This total includes a deduction of 56.493 shares, which were reported in error on a Form 4 dated April 24, 2013.
- (2) Mr. Bennion is the co-trustee with Diane Bennion for the Bennion Revocable Living Trust dated 12/19/02.
- (3) Participants in HomeStreet, Inc.'s 401(k) Savings Plan have the authority to direct voting of shares they hold through such plan.
- (4) These options were fully vested as of November 29, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.