Tyner Carole Ann Form 3 October 09, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Tyner Carole Ann

(Last)

ROAD

(First)

C/O BRIDGELINE DIGITAL,

INC., Â 80 BLANCHARD

(Middle)

Statement

(Month/Day/Year)

09/28/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Bridgeline Digital, Inc. [BLIN]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

**CFO** 

Director \_X\_\_ Officer

10% Owner \_ Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One

Reporting Person

(Street)

BURLINGTON, MAÂ 01803

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(City)

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership (Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

4. 5. Conversion or Exercise

Ownership Form of

6. Nature of Indirect Beneficial

Date

Expiration

(Instr. 4) Title

Amount or

Price of Derivative Security

Ownership Derivative (Instr. 5) Security:

Exercisable

Date

Number of

Direct (D)

### Edgar Filing: Tyner Carole Ann - Form 3

				Shares		or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	01/28/2017	01/28/2024	Common Stock	2,000	\$ 33.25	D	Â
Stock Option (right to buy)	02/09/2018	02/09/2025	Common Stock	400	\$ 13.25	D	Â
Stock Option (right to buy)	(1)	08/19/2026	Common Stock	2,000	\$ 4.1	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships		ships	
	Director	10% Owner Officer    CFO	Officer	Other
Tyner Carole Ann				
C/O BRIDGELINE DIGITAL, INC.	Â	â	CEO	â
80 BLANCHARD ROAD	А	A	А СГО	A
BURLINGTON, MA 01803				

# **Signatures**

/s/ Carole Ann
Tyner

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options become exercisable with respect to 1/3 of the underlying shares on each of August 19, 2017, 2018, and 2019. As such, 1333 are fully vested and 667 will become fully vested on August 19, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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