

WHEAT BILL W  
Form 4  
November 06, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**WHEAT BILL W**

(Last) (First) (Middle)

1341 HORTON CIRCLE

(Street)

ARLINGTON, TX 76011

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

**HORTON D R INC /DE/ [DHI]**

3. Date of Earliest Transaction  
(Month/Day/Year)

11/02/2017

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_\_ Other (specify below)

EVP and CFO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	03/10/2017		G <sup>(1)</sup>	V 27,331 D \$ 0	82,666	D	
Common Stock	11/02/2017		M	10,000 A \$ 0 (2)	92,666	D	
Common Stock	11/04/2017		M	10,000 A \$ 0 (2)	102,666	D	
Common Stock	11/05/2017		M	10,000 A \$ 0 (2)	112,666	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Restricted Stock Unit	(2)	11/02/2017		A		40,000		(3)	(3)	Common Stock	40,000
Restricted Stock Unit	(2)	11/02/2017		M		10,000		(4)	(4)	Common Stock	10,000
Restricted Stock Unit	(2)	11/04/2017		M		10,000		(5)	(5)	Common Stock	10,000
Restricted Stock Unit	(2)	11/05/2017		M		10,000		(6)	(6)	Common Stock	10,000

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
WHEAT BILL W 1341 HORTON CIRCLE ARLINGTON, TX 76011	EVP and CFO

## Signatures

/s/ Bill W.  
Wheat

11/06/2017

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) This transaction involved a gift of securities outside of Mr. Wheat's household and is being voluntarily disclosed on this Form 4. Mr. Wheat disclaims beneficial ownership of the shares gifted.
- (2) Each restricted stock unit represents a contingent right to receive one share of DHI common stock upon vesting.

## Edgar Filing: WHEAT BILL W - Form 4

On November 2, 2017, the reporting person was granted 40,000 restricted stock units, vesting in three annual installments beginning  
(3) November 2, 2018. The reporting person elected to defer settlement of all restricted stock units, granted on November 2, 2017, to November 2020.

On November 2, 2016, the reporting person was granted 30,000 restricted stock units, vesting in three annual installments beginning  
(4) November 2, 2017. The reporting person elected to defer settlement of all restricted stock units, granted on November 2, 2016, to November 2019.

On November 4, 2015, the reporting person was granted 30,000 restricted stock units, vesting in three annual installments beginning  
(5) November 4, 2016. The reporting person elected to defer settlement of all restricted stock units, granted on November 4, 2015, to November 2018.

On November 5, 2014, the reporting person was granted 30,000 restricted stock units, vesting in three annual installments beginning  
(6) November 5, 2015. The reporting person elected to defer settlement of all restricted stock units, granted on November 5, 2014, to November 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.