

HOPE BANCORP INC
Form 4
August 02, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Koh Steven

(Last) (First) (Middle)

3200 WILSHIRE BLVD

(Street)

LOS ANGELES, CA 90010

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
HOPE BANCORP INC [HOPE]

3. Date of Earliest Transaction
(Month/Day/Year)
07/29/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	V	Amount	(A) or (D)	Price		
Common Stock	07/29/2016		A		153,270	A	(1) 153,270	I	KOH CHARITABLE FOUNDATION
Common Stock	07/29/2016		A		3,226,092	A	(2) 3,226,092	I	KOH-WILSHIRE LP
Common Stock	07/29/2016		A		594,928	A	(3) 594,928	I	STEVEN S KOH TR KOH-WILSHIRE TRUST UA DTD 06/04/04
Common Stock	07/29/2016		A		805	A	(4) 805	I	STEVEN SUKHWI KOH

Common Stock	07/29/2016		A	805	A	(5)	805	I	2007 RETAINED ANNUITY TRUST JUNG OK KOH 2007 RETAINED ANNUITY TRUST
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount Number of Shares
Stock Option (Right To Buy)	\$ 5.02	07/29/2016		A	105,510	08/01/2016 01/28/2022	Common Stock 105,510
Stock Option (Right To Buy)	\$ 14.65	07/29/2016		A	6,167	08/01/2016 08/25/2025	Common Stock 6,167

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Koh Steven 3200 WILSHIRE BLVD LOS ANGELES, CA 90010	X			

Signatures

Steven Koh 08/02/2016

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Received in exchange for 217,900 shares of Wilshire Bancorp, Inc. ("Wilshire") common stock in connection with merger of Wilshire

(1) Bancorp, Inc. into HOPE Bancorp, Inc. (former BBCN Bancorp, Inc.)(the "Merger"). On the effective date of the Merger, the closing price of Wilshire's common stock was \$10.74 per share, and the closing price of HOPE Bancorp, Inc. was \$15.37 per share.

(2) Received in exchange for 4,586,426 shares of Wilshire common stock in connection with the Merger

(3) Received in exchange for 845,791 shares of Wilshire common stock in connection with the Merger

(4) Received in exchange for 1,145 shares of Wilshire common stock in connection with the Merger

(5) Received in exchange for 1,145 shares of Wilshire common stock in connection with the Merger

(6) Received in the Merger in exchange for a stock option to acquire 150,000 shares of Wilshire common stock

(7) Received in the Merger in exchange for a stock option to acquire 8,768 shares of Wilshire common stock

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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