Edgar Filing: Wolfe Daniel B - Form 4

| Wolfe Daniel B Form 4 May 16, 2018 FORM 4 May 16, 2018 TOMB APPROVAL Mashington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). | | | | | | | |
|---|---|--|----------------------|---|---|--------------------------|--|
| (Print or Type Responses) | | | | | | | |
| 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading Wolfe Daniel B Symbol 180 DEGREE CAPITAL CORP. /NY/ [TURN] | | | 8 | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) (First) (Middle 7 NORTH WILLOW STREET, SUITE 4B | 3. Date of Earliest Transaction (Month/Day/Year) 05/16/2018 | | | _X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) President | | | |
| (Street) MONTCLAIR, NJ 07042 | 4. If Amendment, D Filed(Month/Day/Yea | - | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) (State) (Zip) | Table I - Non- | Derivative Secu | rities Acqu | iired, Disposed of, | or Beneficial | v Owned | |
| 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A.1.Title of (Month/Day/Year)Exc any (Month/Day/Year) | Deemed 3. cution Date, if Transacti Code onth/Day/Year) (Instr. 8) | 4. Securities A or(A) or Dispose (Instr. 3, 4 and (A) or Amount (D) | cquired ed of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect | |
| Common 05/16/2018 Stock | Р | 6,740 A | \$ 2.2 | 226,740 | D | | |
| Common Stock 05/16/2018 | Р | 2,900 A | \$ 2.1956 | 229,640 | D | | |
| Common 05/16/2018 Stock | Р | 360 A | \$ 2.195 | 230,000 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of
information contained in this form are not
required to respond unless the formSEC 1474
(9-02)

Edgar Filing: Wolfe Daniel B - Form 4

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | ; | Date | 7. Titl Amou Under Securi (Instr. | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr |
|---|---|---|---------------------------------------|---|---------------------|--------------------|---|--|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|-----------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| Wolfe Daniel B 7 NORTH WILLOW STREET SUITE 4B MONTCLAIR, NJ 07042 | Х | | President | | | |
| Signatures | | | | | | |
| /s/ Alicia M. Gift by Power of Attorney | | 05/16/201 | 18 | | | |
| <u>**</u> Signature of Reporting Person | | Date | | | | |
| Explanation of Responses: | | | | | | |

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.