

MONOLITHIC POWER SYSTEMS INC

Form 4

November 06, 2014

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Hsing Michael

2. Issuer Name **and** Ticker or Trading  
Symbol

MONOLITHIC POWER SYSTEMS  
INC [MPWR]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)

08/22/2014

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

CEO

79 GREAT OAKS BLVD

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

SAN JOSE, CA 95119

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 08/22/2014                              |                                                             | S <sup>(1)</sup>                     | 40,000 D                                                                | \$<br>46.3724 267,860 <sup>(3)</sup>                                                                               | D                                                                       |                                                                   |
| Common<br>Stock                       | 08/25/2014                              |                                                             | S <sup>(1)</sup>                     | 55,326 D                                                                | \$<br>45.9589 212,534 <sup>(3)</sup>                                                                               | D                                                                       |                                                                   |
| Common<br>Stock                       | 08/26/2014                              |                                                             | S <sup>(1)</sup>                     | 54,540 D                                                                | \$<br>46.4187 157,994 <sup>(3)</sup>                                                                               | D                                                                       |                                                                   |
| Common<br>Stock                       | 11/05/2014                              |                                                             | S <sup>(6)</sup>                     | 2,679 D                                                                 | \$ 43.81 155,315                                                                                                   | D                                                                       |                                                                   |

Edgar Filing: MONOLITHIC POWER SYSTEMS INC - Form 4

|              |            |                  |       |   |          |         |   |                      |
|--------------|------------|------------------|-------|---|----------|---------|---|----------------------|
| Common Stock | 11/06/2014 | S <sup>(6)</sup> | 2,060 | D | \$ 44.26 | 153,255 | D |                      |
| Common Stock |            |                  |       |   |          | 465,566 | I | By Jointly w/Spouse  |
| Common Stock |            |                  |       |   |          | 133,040 | I | By S. Hsing 04 Trust |
| Common Stock |            |                  |       |   |          | 29,000  | I | Hsing Fam Foundation |
| Common Stock |            |                  |       |   |          | 133,040 | I | by M Hsing 04 Trust  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 3) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------------------------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable                                         | Expiration Date                                               | Title                                      | Amount or Number of Shares                                                                      |

## Reporting Owners

| Reporting Owner Name / Address                            | Relationships |           |         |       |
|-----------------------------------------------------------|---------------|-----------|---------|-------|
|                                                           | Director      | 10% Owner | Officer | Other |
| Hsing Michael<br>79 GREAT OAKS BLVD<br>SAN JOSE, CA 95119 | X             |           | CEO     |       |

## Signatures

By: Saria Tseng For: Michael Hsing  
11/06/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction was executed during an authorized trading window in compliance with the Company's Insider Trading Compliance Program.  
The price is the weighted average sale price for the transactions reported on this line. The prices for the transactions reported on this line
- (2) range from \$46.32 to \$46.545. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (3) The reported transaction is being amended to correct the beneficial ownership from Indirect to Direct.  
The price is the weighted average sale price for the transactions reported on this line. The prices for the transactions reported on this line
- (4) range from \$45.705819 to \$46.15. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.  
The price is the weighted average sale price for the transactions reported on this line. The prices for the transactions reported on this line
- (5) range from \$46.25 to \$46.6. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (6) Shares sold to pay tax obligations resulting from the vesting of restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.