Edgar Filing: KRATOS DEFENSE & SECURITY SOLUTIONS, INC. - Form 4

KRATOS DEFENSE & SECURITY SOLUTIONS, INC.

Form 4 June 10, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box subject to

if no longer Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * POIRIER RICHARD

(Last) (First) (Middle)

4820 EASTGATE MALL

(Street)

(State)

(Zip)

SAN DIEGO, CA 92121

(City)

2. Issuer Name and Ticker or Trading

Symbol

KRATOS DEFENSE & SECURITY SOLUTIONS, INC. [KTOS]

(Month/Day/Year) 06/09/2015

Filed(Month/Day/Year)

3. Date of Earliest Transaction

4. If Amendment, Date Original

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

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response... 0.5

> Indirect Beneficial

Ownership (Instr. 4)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner

X_ Officer (give title Other (specify below)

President, Elec. Products Div.

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1 Title of 2. Transaction Date 2A. Deemed 1 Committee 5. Amount of 6. Ownership 7. Nature of

1.11110 01	2. Hallsaction Date	ZA. Decilieu	٥.	4. Securities		J. Amount of	o. Ownership	
Security	(Month/Day/Year)	Execution Date, if	TransactionAcquired (A) or			Securities	Form: Direct	
(Instr. 3)		any	Code	Code Disposed of (D)			Beneficially	(D) or
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	
							Following	(Instr. 4)
					(4)		Reported	
					(A)		Transaction(s)	
			C 1 W		or	ъ.	(Instr. 3 and 4)	
			Code V	Amount	(D)	Price		
Common Stock	06/09/2015		M	4,500 (2)	A	\$0	65,697 <u>(3)</u>	D
Common Stock	06/10/2015		M	4,500 (2)	A	\$0	70,197 (3)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Code	5. Number iom Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	06/09/2015	M	4,500	(2)	(2)	Common Stock	4,500	\$
Restricted Stock Units	<u>(1)</u>	06/10/2015	M	4,500	(2)	(2)	Common Stock	4,500	\$

Reporting Owners

Reporting Owner Name / Address	Relationships

Director 10% Owner Officer Other

POIRIER RICHARD 4820 EASTGATE MALL SAN DIEGO, CA 92121

President, Elec. Products Div.

Signatures

Richard Poirier, by Eva Yee, Attorney-In-Fact

06/10/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit (RSU) represents a contingent right to receive one share of Issuer's common stock.
- (2) RSUs were granted and previously reported on a Form 4 filed January 5, 2015. Of these RSUs, 4,500 RSUs were released on June 9, 2015 and 4,500 RSUs were released on June 10, 2015.
- (3) Includes 12,458 shares purchased through Issuer's Employee Stock Purchase Plan and 7,239 shares held in the Issuer's 401(k) Plan.

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