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TRI-CONTINENTAL CORP

Form NSAR-A

August 29, 2013

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000 A000000 06/30/2013
000 C000000 0000099614
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000 I000000 6.1
000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
001 B000000 811-00266
001 C000000 6173859594
002 A000000 225 FRANKLIN STREET
002 B000000 BOSTON
002 C000000 MA
002 D010000 02110
003 000000 N
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007 C010100 1
008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
008 B000001 A
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008 D010001 BOSTON
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010 C010001 BOSTON
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012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.
012 B000001 84-05685
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012 C020001 MA
012 C030001 02266
012 C040001 8081
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 MINNEAPOLIS
013 B020001 MN
013 B030001 55402
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.
014 B000001 8-25845
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.
014 B000002 8-42582
014 A000003 AMERIPRISE FINANCIAL SERVICES, INC.
014 B000003 8-16791
014 A000004 RIVERSOURCE DISTRIBUTORS, INC.
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014 B000004 8-67196
015 A000001 JPMORGAN CHASE BANK, N.A.
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10005

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015 E010001 X
015 A000002 AB SEB BANKAS
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015 D010002 LITHUANIA
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015 A000003 BANCO BCT, S.A.
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015 A000005 BANCO NACIONAL DE MEXICO, S.A.
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015 E040005 X
015 A000006 BANCO SANTANDER CHILE
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015 C010006 SANTIAGO
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015 A000007 BANK HANDLOWY W. WARSZAWIE S.A.
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015 C010007 WARSAW
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015 A000008 BANK LEUMI LE-ISRAEL B.M.
015 B000008 S
015 C010008 TEL AVIV
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015 A000009 BANQUE INTERNATIONALE ARABE DE TUNISIE, S.A.
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015 A000010 BNP PARIBAS SECURITIES SERVICES S.C.A.
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015 C010013 HESPERANGE
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015 A000016 CANADIAN IMPERIAL BANK OF COMMERCE
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015 A000017 CITIBANK A.S.
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015 B000026 S
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015 A000027 FIRSTRAND BANK LIMITED
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015 C010027 JOHANNESBURG
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015 E040027 X
015 A000028 HSBC BANK (CHINA) COMPANY LIMITED
015 B000028 S
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015 A000029 HSBC BANK (VIETNAM) LTD.
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015 A000030 HSBC BANK ARGENTINA S.A.
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015 A000031 HSBC BANK BERMUDA LIMITED
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015 B000038 S

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015 C010038 DOHA
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015 A000042 HSBC BANK OMAN S.A.O.G.
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015 C010049 FRANKFURT
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015 A000050 J.P. MORGAN BANK INTERNATIONAL
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015 C010050 MOSCOW

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015 E040050 X
015 A000051 J.P. MORGAN S.A. DTVM
015 B000051 S
015 C010051 SAO PAULO
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015 A000058 MIZUHO BANK, LTD.
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015 A000062 NORDEA BANK NORGE ASA
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015 C010062 OSLO

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015 D010062 NORWAY
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015 D010063 CROATIA
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015 A000064 REPUBLIC BANK LIMITED
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015 D010064 TRINIDAD AND TOBAGO
015 E040064 X
015 A000065 ROYAL BANK OF CANADA
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015 D010065 CANADA
015 E040065 X
015 A000066 SANTANDER INVESTMENT, S.A.
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015 D010066 SPAIN
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015 D010067 KAZAKHSTAN
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015 A000068 SOCIETE GENERALE
015 B000068 S
015 C010068 PARIS
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015 A000069 SOCIETE GENERALE MAROCAINE DE BANQUES
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015 C010069 CASABLANCA
015 D010069 MOROCCO
015 E040069 X
015 A000070 STANBIC BANK TANZANIA LIMITED
015 B000070 S
015 C010070 DAR ES SALAAM
015 D010070 TANZANIA
015 E040070 X
015 A000071 STANBIC BANK ZIMBABWE LIMITED
015 B000071 S
015 C010071 HARARE
015 D010071 ZIMBABWE
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015 A000072 STANBIC IBTC BANK PLC
015 B000072 S
015 C010072 LAGOS
015 D010072 NIGERIA
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015 A000073 STANDARD BANK LIMITED, MALAWI
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015 D010073 MALAWI
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015 A000074 STANDARD BANK NAMIBIA LIMITED
015 B000074 S
015 C010074 WINDHOEK
015 D010074 NAMIBIA

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015 E040074 X
015 A000075 STANDARD CHARTERED BANK
015 B000075 S
015 C010075 DHAKA
015 D010075 BANGLADESH
015 E040075 X
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015 B000076 S
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015 D010076 PAKISTAN
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015 A000077 STANDARD CHARTERED BANK (THAI) PUBLIC CO. LTD
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015 A000082 STANDARD CHARTERED BANK KOREA LIMITED
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015 A000083 STANDARD CHARTERED BANK UGANDA LIMITED
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015 A000084 STANDARD CHARTERED BANK ZAMBIA PLC
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015 C010085 TALLINN
015 D010085 ESTONIA
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015 A000086 SWEDBANK AS
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015 C010086 RIGA
015 D010086 LATVIA
015 E040086 X

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015 A000087 THE BANK OF TOKYO-MITSUBISHI UFJ, LIMITED
015 B000087 S
015 C010087 TOKYO
015 D010087 JAPAN
015 E040087 X
015 A000088 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000088 S
015 C010088 EBENE
015 D010088 MAURITIUS
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015 A000089 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
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015 C010089 TAGUIG CITY
015 D010089 PHILIPPINES
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015 A000090 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000090 S
015 C010090 COLOMBO
015 D010090 SRI LANKA
015 E040090 X
015 A000091 UBS AG
015 B000091 S
015 C010091 ZURICH
015 D010091 SWITZERLAND
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015 A000092 UNICREDIT BANK AUSTRIA AG
015 B000092 S
PAGE 11
015 C010092 VIENNA
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015 A000093 UNICREDIT BANK CZECH REPUBLIC A.S.
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015 C010093 PRAGUE
015 D010093 CZECH REPUBLIC
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015 A000094 UNICREDIT BANK SLOVAKIA A.S.
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015 D010094 SLOVAK REPUBLIC
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015 A000095 UNICREDIT BANK SRBIJA A.D.
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015 C010095 BELGRADE
015 D010095 SERBIA
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015 A000096 UNICREDIT BANKA SLOVENIJA D.D.
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015 C010096 LJUBLJANA
015 D010096 SLOVENIA
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020 B000001 13-5108880
020 C000001 80
020 A000002 CITIGROUP GLOBAL MARKETS INC.
020 B000002 11-2418191
020 C000002 62
020 A000003 BARCLAYS CAPITAL

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020 B000003 13-3297824
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020 A000004 MORGAN STANLEY
020 B000004 13-2655998
020 C000004 29
020 A000005 J.P. MORGAN SECURITIES, INC.
020 B000005 13-3224016
020 C000005 22
020 A000006 DEUTSCHE BANK SECURITIES INC.
020 B000006 13-2730828
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020 A000007 ROBERT W. BAIRD & CO. INC.
020 B000007 39-6037917
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020 A000008 CREDIT SUISSE SECURITIES (USA) L.L.C.
020 B000008 13-5659485
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020 A000009 WELLS FARGO INVESTMENTS, LLC
020 B000009 91-1374280
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022 A000006 NOMURA SECURITIES INTERNATIONAL, INC.
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022 A000008 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.
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022 A000009 JEFFERIES & COMPANY, INC.
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022 A000010 BANC OF AMERICA SECURITIES LLC

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025 A000001 Citigroup, Inc.
PAGE 13
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025 A000002 JPMorgan Chase & Co.
025 B000002 13-3224016
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025 A000003 The Goldman Sachs Group, Inc.
025 B000003 13-5108880
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074	D000000	296691
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077	O000000	N
077	P000000	N
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077	Q030000	N
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082	B000000	0
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086 D010000 0
086 D020000 0
086 E010000 0
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086 F010000 0
086 F020000 0
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087 A020000 895436103
087 A030000 TY
PAGE 19
087 B010000 TRI-CONTINENTAL - PREFERRED STOCK
087 B020000 895436202
087 C010000 TRI-CONTINENTAL - WARRANT
087 C020000 895436111
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE SEE BELOW
TITLE SEE BELOW

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Boston State of: Massachusetts Date: August 15, 2013

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Michael G. Clarke

Michael G. Clarke
Treasurer

/s/ Joseph F. DiMaria

Joseph F. DiMaria
Chief Accounting Officer
Witness

TRI-CONTINENTAL CORPORATION