

MOSAIC CO
Form 4
July 20, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
O'Rourke James Calvin

(Last) (First) (Middle)

C/O THE MOSAIC
COMPANY, 3033 CAMPUS
DRIVE, SUITE E490

(Street)

PLYMOUTH, MN 55441

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
MOSAIC CO [MOS]

3. Date of Earliest Transaction
(Month/Day/Year)
07/18/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
President & CEO

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
				Code	V	Amount	
Common Stock	07/18/2016		M		11,722	A	\$ 0 74,745 D
Common Stock	07/18/2016		A ⁽¹⁾		5,414	A	\$ 0 80,159 D
Common Stock	07/18/2016		F ⁽²⁾		8,878	D	\$ 29.28 71,281 D
Common Stock							3,000 I Reporting Person's Spouse

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Stock Option (Right to Buy)	\$ 52.72					<u>(3)</u> 07/27/2019	Common Stock	12,019	
Stock Option (Right to Buy)	\$ 44.93					<u>(3)</u> 07/27/2020	Common Stock	20,259	
Stock Option (Right to Buy)	\$ 70.62					<u>(3)</u> 07/21/2021	Common Stock	16,150	
Stock Option (Right to Buy)	\$ 57.62					<u>(3)</u> 07/19/2022	Common Stock	27,681	
Restricted Stock Units	\$ 0 ⁽⁴⁾	07/18/2016		M	11,722	07/18/2016	<u>(5)</u>	Common Stock	11,722
Stock Option (Right to Buy)	\$ 54.03					<u>(3)</u> 07/18/2023	Common Stock	29,987	
Restricted Stock Units	\$ 0 ⁽⁴⁾					03/07/2017	<u>(5)</u>	Common Stock	12,735
Stock Option	\$ 49.73					<u>(6)</u> 03/07/2024	Common Stock	33,700	

(Right to Buy)

Stock

Option (Right to Buy)

\$ 50.43

(7)

03/05/2025

Common Stock

37,300

Restricted Stock Units

\$ 0 (4)

08/05/2018

(5)

Common Stock

22,432

Stock

Option (Right to Buy)

\$ 28.49

(8)

03/03/2026

Common Stock

179,210

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

O'Rourke James Calvin
C/O THE MOSAIC COMPANY
3033 CAMPUS DRIVE, SUITE E490
PLYMOUTH, MN 55441

X

President & CEO

Signatures

/s/ Mark J. Isaacson, Attorney-in-Fact for James C. O'Rourke

07/20/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares issued to reporting person upon the vesting of a performance unit award granted to reporting person on July 18, 2013. The performance unit award was not a derivative security.
- (2) Shares sold to cover tax liability incurred as a result of most recent vesting of Restricted Stock Units and Performance Units.
- (3) This Stock Option is 100% exercisable.
- (4) One-for-One
- (5) Not Applicable
- (6) Grant Date 03/07/2014; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (7) Grant Date 03/05/2015; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (8) Grant Date 03/03/2016; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.