

UFP TECHNOLOGIES INC

Form 4

November 14, 2016

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Stevenson David K

(Last) (First) (Middle)

C/O UFP TECHNOLOGIES, INC., 100 HALE STREET

(Street)

NEWBURYPORT, MA 01950

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

UFP TECHNOLOGIES INC [UFPT]

3. Date of Earliest Transaction (Month/Day/Year)

11/09/2016

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock, \$.01 Par Value	11/09/2016		M		2,423 A \$ 12.37	16,525	D
Common Stock, \$.01 Par Value	11/09/2016		M		2,058 A \$ 16.17	18,583	D
Common Stock, \$.01 Par Value	11/09/2016		M		1,295 A \$ 16.32	19,878	D
Common Stock, \$.01	11/09/2016		M		1,227 A \$ 19.08	21,105	D

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Par Value

Common Stock, \$.01 Par Value 11/09/2016 M 2,921 A \$ 19.97 24,026 D

Common Stock, \$.01 Par Value 11/09/2016 F 6,514 D \$ 25.5 17,512 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy)	\$ 12.37	11/09/2016		M	2,423	06/04/2008 06/04/2018	Common Stock, \$.01 Par Value	2,423
Stock Option (Right to Buy)	\$ 16.17	11/09/2016		M	2,058	07/08/2011 07/08/2021	Common Stock, \$.01 Par Value	2,058
Stock Option (Right to Buy)	\$ 16.32	11/09/2016		M	1,295	06/14/2012 06/14/2022	Common Stock, \$.01 Par Value	1,295
Stock Option (Right to Buy)	\$ 19.08	11/09/2016		M	1,227	07/12/2013 07/12/2023	Common Stock, \$.01 Par Value	1,227
Stock Option	\$ 19.97	11/09/2016		M	2,921	07/10/2015 07/10/2025	Common Stock,	2,921

(Right to Buy)

\$.01 Par Value

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Stevenson David K C/O UFP TECHNOLOGIES, INC. 100 HALE STREET NEWBURYPORT, MA 01950	X			

## Signatures

Patrick J. Kinney, Jr. as attorney-in-fact for David K. Stevenson 11/14/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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