

GENERAL ELECTRIC CO
Form 3
July 08, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Â Bornstein Jeffrey S
(Last) (First) (Middle)

2. Date of Event Requiring Statement
(Month/Day/Year)
07/01/2013

3. Issuer Name and Ticker or Trading Symbol
GENERAL ELECTRIC CO [GE]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

GENERAL ELECTRIC COMPANY,Â 3135 EASTON TURNPIKE

(Street)

FAIRFIELD,Â CTÂ 06828

(City) (State) (Zip)

(Check all applicable)

Director 10% Owner
 Officer Other
(give title below) (specify below)
Senior Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	66,938	D	Â
Common Stock	29,947	I	by 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Phantom Stock Units	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	5,018	\$ <u>(2)</u>	D	Â
Restricted Stock Units	Â <u>(3)</u>	Â <u>(3)</u>	Common Stock	12,500	\$ <u>(2)</u>	D	Â
Restricted Stock Units	Â <u>(4)</u>	Â <u>(4)</u>	Common Stock	6,250	\$ <u>(2)</u>	D	Â
Restricted Stock Units	Â <u>(5)</u>	Â <u>(5)</u>	Common Stock	7,500	\$ <u>(2)</u>	D	Â
Restricted Stock Units	Â <u>(6)</u>	Â <u>(6)</u>	Common Stock	12,500	\$ <u>(2)</u>	D	Â
Restricted Stock Units	Â <u>(7)</u>	Â <u>(7)</u>	Common Stock	9,167	\$ <u>(2)</u>	D	Â
Restricted Stock Units	Â <u>(8)</u>	Â <u>(8)</u>	Common Stock	30,000	\$ <u>(2)</u>	D	Â
Restricted Stock Units	Â <u>(9)</u>	Â <u>(9)</u>	Common Stock	200,000	\$ <u>(2)</u>	D	Â
Employee Stock Options (right to buy) <u>(10)</u>	09/12/2004	09/12/2013	Common Stock	60,000	\$ 31.53	D	Â
Employee Stock Options (right to buy) <u>(10)</u>	09/17/2005	09/17/2014	Common Stock	75,000	\$ 34.22	D	Â
Employee Stock Options (right to buy) <u>(10)</u>	09/16/2006	09/16/2015	Common Stock	84,000	\$ 34.47	D	Â
Employee Stock Options (right to buy) <u>(10)</u>	09/08/2007	09/08/2016	Common Stock	82,500	\$ 34.01	D	Â
Employee Stock Options (right to buy) <u>(10)</u>	09/07/2008	09/07/2017	Common Stock	112,500	\$ 38.75	D	Â
Employee Stock Options (right to buy) <u>(10)</u>	09/09/2009	09/09/2018	Common Stock	137,500	\$ 28.12	D	Â
Employee Stock Options (right to buy) <u>(11)</u>	03/12/2010	03/12/2019	Common Stock	220,000	\$ 9.57	D	Â
Employee Stock Options (right to buy) <u>(12)</u>	07/23/2010	07/23/2019	Common Stock	440,000	\$ 11.95	D	Â
Employee Stock Options (right to buy) <u>(10)</u>	06/10/2011	06/10/2020	Common Stock	650,000	\$ 15.68	D	Â
Employee Stock Options (right to buy) <u>(10)</u>	06/09/2012	06/09/2021	Common Stock	700,000	\$ 18.58	D	Â

