

EMCORE CORP  
Form 3  
March 17, 2017

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *			2. Date of Event Requiring Statement		3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â Wojciechowski David Gregory			(Month/Day/Year) 03/16/2017		EMCORE CORP [EMKR]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)
2015 W. CHESTNUT STREET			(Check all applicable)			6. Individual or Joint/Group Filing(Check Applicable Line)
(Street)			___ Director ___ 10% Owner			_X_ Form filed by One Reporting Person
ALHAMBRA,Â CAÂ 91803			___ Officer ___ Other (give title below) (specify below)			___ Form filed by More than One Reporting Person
(City) (State) (Zip)			Vice President, Sales			

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable      Expiration Date	Title      Amount or Number of Shares			

(Instr. 5)

Restricted Stock Units <u>(1)</u>	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	17,614 <u>(1)</u>	\$ 0 <u>(1)</u>	D <u>(1)</u>	Â
Performance-Based Restricted Stock Units (PSUs) <u>(2)</u>	Â <u>(2)</u>	Â <u>(2)</u>	Common Stock	7,211 <u>(2)</u>	\$ 0 <u>(2)</u>	D <u>(2)</u>	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Wojciechowski David Gregory 2015 W. CHESTNUT STREET ALHAMBRA, CA 91803	Â	Â	Â Vice President, Sales	Â

## Signatures

Mark A. Gordon, attorney  
in fact

03/17/2017

\*\*Signature of Reporting Person                      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes (a) 6,939 shares of restricted stock that vest 3,470 shares on June 29, 2017 and 3,469 shares that vest on June 29, 2018; (b) 5,037 shares of restricted stock that vest 1,260 shares on December 14, 2017 and 1,259 shares on December 14, 2018, 2019 and 2020; and (c) 5,638 shares of restricted stock that vest in two equal annual installments beginning on February 16, 2018.
- (2) Includes 7,211 of target restricted performance stock units ("PSUs") that vest on December 14, 2019. The PSUs issued will vest based on a combination of the relative total shareholder return of EMCORE's stock compared to the Russell Microcap Index and the employee's continued employment. The total number of shares to be issued may range from zero (0) to 200% of the target PSUs granted.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.