FAIR ISAAC CORP

Form 4

October 12, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

2. Issuer Name and Ticker or Trading

FAIR ISAAC CORP [FICO]

OMB 3235-0287

**OMB APPROVAL** 

Number:

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

January 31,

2005

0.5

Expires: Estimated average

burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

Symbol

1(b).

(Print or Type Responses)

LANSING WILLIAM J

1. Name and Address of Reporting Person \*

See Instruction

					-	_		(Check	all applicable)			
(Last)	(First)	(Middle)	3. Date	of Earliest	Transaction	ı						
181 METR		(Month/Day/Year) 10/11/2016					Director 10% Owner Officer (give title Other (specify below)  President and CEO					
	(Street)		4. If Amendment, Date Original						6. Individual or Joint/Group Filing(Check			
SAN JOSE	E, CA 95110		Filed(Month/Day/Year)  A					Applicable Line)  X_ Form filed by One Reporting Person  Form filed by More than One Reporting  Person				
(City)	(State)	(Zip)	TD - 1	.1. T N	D			. J. D'	D e 11.	01		
(,)	(2)	(—· <b>r</b> )	Tal	ole I - Non	-Derivative	e Secu	rities Acquir	ed, Disposed of, o	or Beneficially	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transacti Code (Instr. 8)	orDisposed (Instr. 3, 4	of (D) 4 and 5 (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common					Amount 30,000	(D)	Price					
Stock	10/11/2016			M	(1)	A	\$ 36.01	192,495	D			
Common Stock	10/11/2016			S	23,600 (1)	D	\$ 119.5864 (2)	168,895	D			
Common Stock	10/11/2016			S	6,200 (1)	D	\$ 120.8002 (3)	162,695	D			
Common Stock	10/11/2016			S	200 (1)	D	\$ 121.065	162,495	D			

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Non Qualified Stock Option (right to buy)	\$ 36.01	10/11/2016		M		30,000	01/27/2013(4)	01/26/2019	Common Stock	30,00

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LANSING WILLIAM J 181 METRO DRIVE SAN JOSE, CA 95110

President and CEO

## **Signatures**

/s/Nancy E. Fraser, Attorney-in-fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercised and sold pursuant to reporting person's 10b5-1 plan.

This transaction was executed in multiple trades at prices ranging from \$119.00 to \$120.00 The price reported above reflects the weighted (2) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

**(3)** 

Reporting Owners 2

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This transaction was executed in multiple trades at prices ranging from \$120.02 to \$121.005. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

(4) This option vests in four equal annual installments commencing on this date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.