Edgar Filing: WESTERN ALLIANCE BANCORPORATION - Form 4

WESTERN ALLIANCE BANCORPORATION

Form 4

Common

Common

Stock

Stock

12/05/2016

December 08, 2016

December 08, 201	.0										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB APPROVAL				
						OMB Number:	3235-02	287			
Check this box if no longer subject to	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF						Expires: Estimated		31,)05		
Section 16. Form 4 or				SECURITIES					burden ho response.	•	0.5
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type Respon	ises)										
MARSHALL TODD Sy			2. Issuer Name and Ticker or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer					
				WESTERN ALLIANCE BANCORPORATION [WAL]				(Check all applicable)			
(Mon				ate of Earliest Transaction ath/Day/Year) 05/2016				_X_ Director 10% Owner Officer (give title below) Other (specify below)			
2)					nendment, Date Original onth/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
PHOENIX, AZ 8	5004							Form filed by I Person	More than One l	Reporting	
(City) (S	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
	nsaction Date h/Day/Year)	etion Date 2A. Deemed ay/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

S

10,000 D

48.03 31,346

375,404

(1)

D

I

The Todd

Marshall Trust under

T&C Marshall

the

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			1999 Irrevocable Trust
Common Stock	89,000	I	The Todd Marshall Revocable Trust UAD 4/1/03
Common Stock	15,949	I	Todd Marshall 2012 IRREV REV TR U/A DTD 11/30/12
Common Stock	33,069	I	The Todd Marshall 1997 Trust FBO Alexis Victoria Marshall Trust
Common Stock	33,069	I	The Todd Marshall 1997 Trust FBO Jessica Lauren Marshall Trust
Common Stock	6,570	I	Alexis V. Marshall Trust 12/27/1996
Common Stock	6,570	I	Jessica L. Marshall Trust 12/27/1996
Common Stock	153,826	I	The Arthur Marshall Family 1993 Irrevocable Trust
Reminder: Report on a separate line for each class of securities benefic	ially owned directly or indirectly. Persons who respond to the collectinformation contained in this form required to respond unless the form	are not	SEC 1474 (9-02)

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displays a currently valid OMB control

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orNumber	Number Expiration Date		Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underlying	g Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securities	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3 and	d 4)	Own
	Security				Acquired					Follo
					(A) or					Repo
					Disposed					Trans
					of (D)					(Instr
					(Instr. 3,					
					4, and 5)					
								Amo	aunt	
									Juiit	
						Date	Expiration	or Title Nun	ahar	
						Exercisable	Date	of	1061	
				Code V	(A) (D)				•••	
				Code V	(A) (D)			Shar	es	

Reporting Owners

Relationships

Reporting Owner Name / Address

Director Officer Other Owner

MARSHALL TODD C/O WESTERN ALLIANCE BANCORPORATION ONE E. WASHINGTON STREET, STE 1400 PHOENIX, AZ 85004

X

Signatures

/s/ Dale Gibbons (Attorney-in-fact)

12/08/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$47.92 and 48.23, inclusive. The reporting person undertakes to provide to Western Alliance Bancorporation, any security holder of Western Alliance Bancorporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of

shares sold at each separate price within this range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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