

Koh Peter
Form 4
April 30, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Koh Peter

2. Issuer Name and Ticker or Trading Symbol
HOPE BANCORP INC [HOPE]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
3200 WILSHIRE BLVD., SUITE 1400
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
04/26/2018

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
EVP, Chief Credit Officer

LOS ANGELES, CA 90010

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock | 09/01/2016 | | A | (A) or (D) V Amount (D) Price 7,000 (1) A \$1 | 66,282 | D | |
| Common Stock | 09/01/2017 | | F | 526 D \$ 16.2 | 65,756 | D | |
| Common Stock | 02/23/2018 | | S | 5,000 D \$ 18.4034 | 60,756 | D | |
| Common Stock (Restricted Stock) | | | | | 1,512 (2) | D | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Underlying (Instr. 3 and 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|--|--------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title |
| Time-based Restricted Stock Units | (3) | 04/26/2018 | | A | 3,989 | (3) | (3) | Common Stock |
| Performance-based Restricted Stock Units | (4) | 04/26/2018 | | A | 1,995 | 04/26/2021(4) | (4) | Common Stock |
| Performance-based Restricted Stock Units | (5) | 04/26/2018 | | A | 1,995 | 04/26/2021(5) | (5) | Common Stock |
| Time-based Restricted Stock Units | (6) | | | | | (6) | (6) | Common Stock |
| Performance-based Restricted Stock Units | (7) | | | | | 07/27/2020(7) | (7) | Common Stock |
| Performance-based Restricted Stock Units | (8) | | | | | 07/27/2020(8) | (8) | Common Stock |
| Incentive Stock Option (right to buy) | \$ 14.95 | | | | | (9) | 09/30/2020 | Common Stock |
| Incentive Stock Option (right to buy) | \$ 17.18 | | | | | (10) | 09/01/2026 | Common Stock |

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1,000 performance-based restricted stock units ("PRSU") were granted on July 27, 2017 pursuant to the 2017 LTIP and the 2016 ICP and subject to a 3-year cliff vesting. Vesting of these PRSU shares depends upon Hope's achievement of a specified relative ranking of the total stockholder return in relation to the KRX Index over a 10-quarter period from July 1, 2017 through December 31, 2019. Each PRSU represents a contingent right to receive one share of Hope common stock at Target performance. The "Target" number of shares is reported. Possible payout ranges from 0% of Target if the Threshold goal is not met, 50% to 99% on a prorated basis if the Threshold is met but the Target goal is not met, 100% to 149% on a prorated basis if the Target goal is met but the Stretch goal is not met, and 150% if the Stretch goal is met or exceeded.

- (9) Options granted on 09/30/15. Vesting schedule: 25% on 09/30/15, 25% on 09/30/16, 25% on 09/30/17, and 25% on 09/30/18.
- (10) Options granted on September 1, 2016 pursuant to the 2016 ICP. Options vest annually in five equal installments on September 1st of 2017, 2018, 2019, 2020 and 2021.

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