

JONAS HOWARD S
Form 4
December 05, 2017

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
JONAS HOWARD S

(Last) (First) (Middle)

C/O GENIE ENERGY LTD., 520 BROAD STREET

(Street)

NEWARK, NJ 07102

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Genie Energy Ltd. [GNE]

3. Date of Earliest Transaction (Month/Day/Year)
11/28/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Chairman of the Board

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|--------------------------------------|--|--------------------------------|---|---|--|---|
| Class B Common Stock, par value \$.01 per share | 11/28/2017 | | J ⁽¹⁾ | V 625,612 D \$ 0 | 1,579,337 ⁽²⁾ | I | By The Howard S. Jonas 2014 Annuity Trust |
| Class B Common Stock, par value \$.01 per share | 11/28/2017 | | J ⁽¹⁾ | V 625,612 A \$ 0 | 898,918 ⁽³⁾ | D | |
| Class B Common | | | | | 231,204 | I | By Trust FBO |

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| | | | |
|---|-----------|---|--|
| Stock, par value \$.01 per share | | | Michael Jonas ⁽⁴⁾ |
| Class B Common Stock, par value \$.01 per share | 302,486 | I | By Trust FBO Samuel Jonas ⁽⁴⁾ |
| Class B Common Stock, par value \$.01 per share | 419,223 | I | By Trust FBO Joseph Jonas ⁽⁴⁾ |
| Class B Common Stock, par value \$.01 per share | 417,247 | I | By Trust FBO Tamar Jonas ⁽⁴⁾ |
| Class B Common Stock, par value \$.01 per share | 418,526 | I | By Trust FBO Rachel Jonas ⁽⁴⁾ |
| Class B Common Stock, par value \$.01 per share | 421,321 | I | By Trust FBO Leora Jonas ⁽⁴⁾ |
| Class B Common Stock, par value \$.01 per share | 244,445 | I | By Trust FBO David Jonas ⁽⁴⁾ |
| Class B Common Stock, par value \$.01 per share | 418,461 | I | By Trust FBO Jonathan Jonas ⁽⁴⁾ |
| Class B Common Stock, par value \$.01 per share | 443,879 | I | By Trust FBO Miriam Jonas ⁽⁴⁾ |
| Class B Common Stock, par | 2,034,262 | I | By The Howard S. Jonas 2017 |

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| | | | |
|---|-----------|---|---------------------------------------|
| value \$.01 per share | | | Annuity Trust |
| Class B Common Stock, par value \$.01 per share | 1,556 | I | Custodial for Son (Jonathan) |
| Class B Common Stock, par value \$.01 per share | 1,556 | I | Custodial for Daughter (Rachel) |
| Class B Common Stock, par value \$.01 per share | 1,556 | I | Custodial for Son (Joseph) |
| Class B Common Stock, par value \$.01 per share | 1,556 | I | Custodial for Daughter (Tamar) |
| Class B Common Stock, par value \$.01 per share | 1,556 | I | Custodial for Daughter (Miriam) |
| Class B Common Stock, par value \$.01 per share | 275,047 | I | By The Jonas Foundation |
| Class A Common Stock, par value \$.01 per share | 1,574,326 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

