

HOPE BANCORP INC
Form 5
February 15, 2017

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
Whang Scott Yoon-suk

(Last) (First) (Middle)

3200 WILSHIRE BLVD., SUITE 1400

(Street)

LOS ANGELES, CA 90010

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
HOPE BANCORP INC [HOPE]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Reporting

(check applicable line)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|---|
| | | | | (A) or (D) Price | | | |
| Common Stock | 12/18/2014 ⁽¹⁾ | Â | G ⁽¹⁾ | 7,200 D \$ 0 | 56,902 | D | Â |
| Common Stock | 12/18/2014 ⁽¹⁾ | Â | G ⁽¹⁾ | 7,200 A \$ 0 | 7,200 | I ⁽²⁾ | By wife as UGMA custodian for grandchildren |
| Common Stock | 12/18/2014 ⁽³⁾ | Â | G ⁽³⁾ | 7,200 D \$ 0 | 49,702 | D | Â |

Common Stock 12/18/2014⁽³⁾ Â G⁽³⁾ 7,200 A \$ 0 7,200 I ⁽²⁾

By reporting person as UGMA custodian for grandchildren

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. of D Se B O E Is Fi (I |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---------------------------|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---------------------------|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Whang Scott Yoon-suk 3200 WILSHIRE BLVD., SUITE 1400 LOS ANGELES, CA 90010 | Â X | Â | Â | Â |

Signatures

/s/ Claire Hur as attorney-in-fact for Scott Yoon-Suk Whang 02/14/2017

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Transfer from the Scott Whang & Lydia Whang Trust Under Agreement 07/19/2001 S&O Revocable Trust to reporting person's wife as custodian for three of six grandchildren under Uniform Gifts to Minors Act. On 12/28/2016 reporting person gifted to three of six grandchildren common stock in the amount of 650 shares each. On 12/31/2015, reporting person gifted to three of six grandchildren common stock in the amount of 750 shares each. On 12/18/2014 reporting person gifted to three of six grandchildren common stock in the amount of 1,000 shares each. The reporting person inadvertently failed to file Form 5s for 2014 and 2015.

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- The reporting person disclaims beneficial ownership of all securities held by his grandchildren, and this report should not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Exchange Act of 1934 or for any other purpose.
- (2)

- Transfer from the Scott Whang & Lydia Whang Trust Under Agreement 07/19/2001 S&O Revocable Trust to reporting person as custodian of three of six grandchildren under Uniform Gifts to Minors Act. On 12/28/2016 reporting person gifted to three of six grandchildren common stock in the amount of 650 shares each. On 12/31/2015 reporting person gifted to three of six grandchildren common stock in the amount of 750 shares each. On 12/18/2014 reporting person gifted to three of six grandchildren common stock in the amount of 1,000 shares each. The reporting person inadvertently failed to file Form 5s for 2014 and 2015.
- (3)

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.