# Edgar Filing: SEACOAST BANKING CORP OF FLORIDA - Form 5

### SEACOAST BANKING CORP OF FLORIDA

Form 5

February 10, 2017 **FORM 5** 

Check thi	s box if	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							3235-0362 January 31,		
no longer to Sectior Form 4 or 5 obligati may conti	n 16. Form ANN ons	ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Expires: Estimated a burden hou response			
See Instru 1(b).	Filed pur oldings Section 17(	a) of the Pub	ion 16(a) of the lic Utility Holdi he Investment C	ng Comp	any A	Act of 1		n			
1. Name and A Shaffer Cha	Address of Reporting arles M	Syn SE					5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last)	(First) (N	(Mo	Statement for Issuer onth/Day/Year) /31/2016	ear Enc	_	elow)	title X Oth below)	Owner er (specify			
SEACOAST BANKING CORPORATION OF FLORIDA, P. O. BOX 9012											
	(Street)		4. If Amendment, Date Original 6. Filed(Month/Day/Year)				6. Individual or Joint/Group Reporting  (check applicable line)				
STUART Â	A FLÂ 34995										
5101111,1	112.13.1770					_	X_ Form Filed by 0 Form Filed by Nerson				
(City)	(State)	(Zip)	Table I - Non-De	rivative Se	curiti	es Acquii	red, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	action Date 2A. Deemed Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquire Transaction (A) or Disposed of (Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned at end of Issuer's	Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Amount	(A) or (D)	Price	Fiscal Year (Instr. 3 and 4)	(Instr. 4)			
Common Stock	12/31/2016	Â	A <u>(1)</u>	59	A	\$ 20.96	1,516	D (2)	Â		
Common Stock	Â	Â	Â	Â	Â	Â	7,491	D	Â		
Common Stock	Â	Â	Â	Â	Â	Â	797	D (3)	Â		

**OMB APPROVAL** 

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Common Stock	Â	Â	Â	Â	Â	Â	1,571	D	Â
Common Stock	Â	Â	Â	Â	Â	Â	16,338	D (4)	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Number		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	An or No
Common Stock Right to Buy (5)	\$ 14.82	Â	Â	Â	Â	Â	(6)	02/28/2024	Common Stock	2
Common Stock Right to Buy (5)	\$ 12.63	Â	Â	Â	Â	Â	(7)	01/29/2023	Common Stock	8
Common Stock Right to Buy (5)	\$ 10.54	Â	Â	Â	Â	Â	04/29/2015(8)	04/29/2024	Common Stock	2
Common Stock Right to Buy (5)	\$ 11	Â	Â	Â	Â	Â	06/28/2014(9)	06/28/2023	Common Stock	2
Stock-Settled Stock Appreciation Rights (10)	\$ 111.1	Â	Â	Â	Â	Â	04/02/2012(11)	04/02/2017	Common Stock	

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Reporting Owners 2

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Shaffer Charles M
SEACOAST BANKING CORPORATION OF FLORIDA
P. O. BOX 9012
STUART. FLÂ 34995

## **Signatures**

Sharon Mehl as Power of Attorney for Charles M. Shaffer

02/10/2017

**EVP** of subsidiary

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Automatic monthly purchase into Employee Stock Purchase Plan
- (2) Held in Company's Employee Stock Purchase Plan as of Dec. 31, 2016
- (3) Share equivalents held in Company's Retirement Savings Plan as of December 31, 2016
- Represents shares underlying performance-based restricted stock units granted on June 28, 2013, that were subject to performance requirements which were attained over a period ending December 31, 2015. Half of the remaining shares vest on Dec. 31, 2017 and half
- (4) requirements which were attained over a period ending December 31, 2015. Half of the remaining shares vest on Dec. 31, 2017 and half on Dec. 31, 2018, provided the recipient remains in continuous service with the Company on each such vesting date.
- (5) Granted pursuant to Seacoast Banking Corporation of Florida's 2013 Incentive Plan
  - Originally has two tiered vesting. Performance criteria was met, and time-based vesting began on 12/1/2016. Option vests in equal
- (6) installments at the end of each month over the next 48 months, provided that Optionee remains in Continuous Service on each applicable vesting date.
- (7) Performance vesting criteria has been met. Option vests in equal installments beginning on 7/1/2015 over the following 48 months, provided the Optionee remains in continuous service on each applicable vesting date.
- (8) Vests over 3 years in one-third increments on each anniversary of the date of grant beginning on the first anniversary of the date of grant (the date indicated), subject to continue employment.
- (9) Vests over 5 years at the rate of 20% on the first anniversary of the date of grant (the date indicated) and then at the rate of 20% on each of the following four anniversaries thereafter, subject to continued employment.
- (10) Granted pursuant to Seacoast Banking Corporation of Florida's 2000 Long-Term Incentive Plan
- (11) Date fully vested

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3