### Edgar Filing: Xencor Inc - Form 4

Xencor Inc Form 4 OMB APPROVAL   FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL   Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Manuary 31, 2005   Filed pursuant to Section 16.(a) of the Securities Exchange Act of 1934, obligations may continue, see Instruction 16. Filed pursuant to Section 16.(a) of the Investment Company Act of 1935 or Section 17.(a) of the Public Utility Holding Company Act of 1935 or Section 17.(b). State Automatical											
Baracchini Edgardo Jr Symbol				8				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
				of Earliest Transaction /Day/Year) 2015				Director 10% Owner X Officer (give title Other (specify below) below) Chief Business Officer			
				nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tab	le I - Non-	Derivativ	e Secu		ired, Disposed of,	or Beneficial	lv Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution any (Month/Da	ed Date, if	3. Transactic Code (Instr. 8)		ties A sed of 4 and (A) or	cquired (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	12/01/2015			М	1,250	A	\$ 0.59	1,250	D		
Common Stock	12/01/2015			S <u>(1)</u>	1,250	D	\$ 15.9268 (2)	0	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivati Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	e Expiration (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 0.59	12/01/2015		М	1,25	0 (3)	01/17/2020	Common Stock	1,250	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
i o	Director	10% Owner	Officer	Other			
Baracchini Edgardo Jr C/O XENCOR, INC. 111 WEST LEMON AVENUE MONROVIA, CA 91016			Chief Business Officer				
Signatures							
/s/ Lloyd Rowland, Attorney-in-Fact		12/02/2015					

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reported transaction occured pursuant to a Rule 10b5-1 Plan adopted March 13, 2015. (1)

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$15.81 to \$16.09, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the

(2)Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above.

25% of the shares subject to the option vested on the one year anniversary of January 12, 2010 (the "Vesting Commencement Date"), and (3) 1/48th of the shares vest monthly thereafter, such that the option shall be fully vested and exercisable on the four year anniversary of the

Vesting Commencement Date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.