Edgar Filing: WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP - Form 4

WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP

(Middle)

Form 4

August 21, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

10% Owner

OMB APPROVAL

3235-0287

January 31,

2005

OMB

Number:

Expires:

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Cox R Mark

2. Issuer Name and Ticker or Trading Symbol

WESTINGHOUSE AIR BRAKE

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) TECHNOLOGIES CORP [WAB]

(Month/Day/Year)

08/21/2014

Other (specify _X__ Officer (give title below)

Director

1001 AIR BRAKE AVENUE

(Street)

4. If Amendment, Date Original

3. Date of Earliest Transaction

Sr. VP - Corporate Development 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) _X_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

WILMERDING, PA 15148

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secu	rities Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	omr Dispos (Instr. 3, 4	ed of the stand of	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock - Direct	08/21/2014		Code V M	Amount 3,375	(D)	Price \$ 28.695	45,496 <u>(1)</u>	D	
Common Stock - Direct	08/21/2014		M	4,500	A	\$ 17.425	49,996	D	
Common Stock - Direct	08/21/2014		M	6,000	A	\$ 14.5	55,996	D	
Common Stock -	08/21/2014		M	6,000	A	\$ 19.1025	61,996	D	

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Direct

Common

Stock - 08/21/2014 S 19,875 D \$84.15 42,121 D

Direct

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on Derivative Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		e	7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
Non-Qualified Stock Option	\$ 28.695	08/21/2014		M	3,375	02/15/2015	02/15/2021	Common Stock	3,3
Non-Qualified Stock Option	\$ 14.5	08/21/2014		M	6,000	02/17/2013	02/17/2019	Common Stock	6,0
Non-Qualified Stock Options	\$ 19.1025	08/21/2014		M	6,000	03/01/2014	02/17/2020	Common Stock	6,0
Non-Qualified Stock Options	\$ 17.425	08/21/2014		M	4,500	02/20/2012	02/20/2018	Common Stock	4,5

Reporting Owners

Reporting Owner Name / Address	Keiationsnips						
	Director	10% Owner	Officer	Other			
Cox R Mark			Sr. VP -				
1001 AIR BRAKE AVENUE			Corporate				
WILMERDING, PA 15148			Development				

Reporting Owners 2

Signatures

David L. DeNinno 08/21/2014

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On June 11, 2013, Wabtec Corporation (WAB) effected a 2-for-1 stock split in the form of a 100% stock dividend to shareholders of record on June 3, 2013.
- The price reported in Column 4 is a weighted average price. The reporting person undertakes to provide to Westinghouse Air Brake (2) Technologies Corporation ("Wabtec"), any security holder of Wabtec, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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