

Inogen Inc
Form 3
February 12, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Â LINK WILLIAM J PHD (Last) (First) (Middle) 326 BOLLAY DRIVE (Street) GOLETA,Â CAÂ 93117 (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) 02/12/2014	3. Issuer Name and Ticker or Trading Symbol Inogen Inc [INGN]	4. Relationship of Reporting Person(s) to Issuer (Check all applicable) ☒ Director ☒ 10% Owner ☐ Officer ☐ Other (give title below) (specify below)	5. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person
---	---	---	--	---	--

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	911	I	See footnote <u>(1)</u>
Common Stock	427	I	See footnote <u>(2)</u>
Common Stock	48,098	I	See footnote <u>(3)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
---	--	--	------------------------------	-------------------------	---

Edgar Filing: Inogen Inc - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Series B Preferred Stock	Â (4)	Â (4)	Common Stock	6,216	\$ 0	I	See footnote (1)
Series C Preferred Stock	Â (4)	Â (4)	Common Stock	3,271	\$ 0	I	See footnote (1)
Series D Preferred Stock	Â (4)	Â (4)	Common Stock	10,395	\$ 0	I	See footnote (1)
Series E Preferred Stock	Â (4)	Â (4)	Common Stock	12,998	\$ 0	I	See footnote (1)
Series B Preferred Stock	Â (4)	Â (4)	Common Stock	2,927	\$ 0	I	See footnote (2)
Series C Preferred Stock	Â (4)	Â (4)	Common Stock	1,539	\$ 0	I	See footnote (2)
Series D Preferred Stock	Â (4)	Â (4)	Common Stock	4,895	\$ 0	I	See footnote (2)
Series E Preferred Stock	Â (4)	Â (4)	Common Stock	6,120	\$ 0	I	See footnote (2)
Series B Preferred Stock	Â (4)	Â (4)	Common Stock	327,556	\$ 0	I	See footnote (3)
Series C Preferred Stock	Â (4)	Â (4)	Common Stock	172,421	\$ 0	I	See footnote (3)
Series D Preferred Stock	Â (4)	Â (4)	Common Stock	547,941	\$ 0	I	See footnote (3)
Series E Preferred Stock	Â (4)	Â (4)	Common Stock	684,970	\$ 0	I	See footnote (3)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LINK WILLIAM J PHD 326 BOLLAY DRIVE GOLETA, CA 93117	Â X	Â X	Â	Â

Signatures

/s/ Alison Bauerlein, as
Attorney-in-Fact

02/12/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares held by Versant Affiliates Fund II-A, L.P. ("VAF II-A"). Versant Ventures II, LLC ("VVC II") serves as the sole general partner of VAF II-A. The reporting person is a director and/or member of VVC II and shares voting and dispositive power over the shares held by VAF II-A. The reporting person disclaims beneficial ownership of these shares, except to the extent of his pecuniary interest in such shares.

(2) Shares held by Versant Side Fund II, L.P. ("VSF II"). VVC II serves as the sole general partner of VSF II. The reporting person is a director and/or member of VVC II and shares voting and dispositive power over the shares held by VSF II. The reporting person disclaims beneficial ownership of these shares, except to the extent of his pecuniary interest in such shares.

(3) Shares held by Versant Venture Capital II, L.P. ("VV II"). VVC II serves as the sole general partner of VV II. The reporting person is a director and/or member of VVC II and shares voting and dispositive power over the shares held by VV II. The reporting person disclaims beneficial ownership of these shares, except to the extent of his pecuniary interest in such shares.

(4) Each share of (i) Series B Preferred Stock will automatically convert into 1.451080982 shares of the Issuer's Common Stock, (ii) Series C Preferred Stock will automatically convert into 1.730144671 shares of the Issuer's Common Stock, (iii) Series D Preferred Stock will automatically convert into 1.879505664 shares of the Issuer's Common Stock, and (iv) Series E Preferred Stock will automatically convert into 2.692436975 shares of the Issuer's Common Stock upon the closing of the Issuer's initial public offering without payment of further consideration. The shares have no expiration date.

Â

Remarks:

ExhibitÂ 24Â -Â PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.