Edgar Filing: SEACOAST BANKING CORP OF FLORIDA - Form 5

SEACOAST BANKING CORP OF FLORIDA

Form 5

Stock

Stock

Common

Â

 $L^{(1)}$

03/29/2013

January 29, 2014

OMB APPROVAL FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Frias Maria Symbol SEACOAST BANKING CORP OF (Check all applicable) FLORIDA [SBCF] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner X _ Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2013 EVP & Chief Risk Officer SEACOAST BANKING **CORPORATION OF** FLORIDA, ÂP.O.BOX 9012 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) STUART, FLÂ 34995 _X_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired 5. Amount of 7. Nature of Transaction Security (Month/Day/Year) Execution Date, if (A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned at end (D) or Ownership of Issuer's Indirect (I) (Instr. 4) Fiscal Year (Instr. 4) (A) (Instr. 3 and or 4) (D) Price Amount Common \$ 8.95 Â Â $L^{(1)}$ 24 (2) $2,141 \stackrel{(2)}{=}$ $D^{(3)}$ 01/31/2013 (2) Stock Common Â $2.161 \frac{(2)}{}$ Â 02/28/2013 $\mathbf{L}^{(1)}$ 20 (2) $\mathbf{D}^{(3)}$ A

(2)

\$

(2)

10.45

 $2,181 \frac{(2)}{2}$

A

20 (2)

Â

 $D^{(3)}$

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Common Stock	04/30/2013	Â	L(1)	20 (2)	A	\$ 10.7 (2)	2,201 (2)	D (3)	Â
Common Stock	05/31/2013	Â	L <u>(1)</u>	20 (2)	A	\$ 10.55 (2)	2,221 (2)	D (3)	Â
Common Stock	06/28/2013	Â	<u>L(1)</u>	19 (2)	A	\$ 11 (2)	2,240 (2)	D (3)	Â
Common Stock	07/31/2013	Â	<u>L(1)</u>	18 (2)	A	\$ 11.8 (2)	2,258 (2)	D (3)	Â
Common Stock	08/30/2013	Â	<u>L(1)</u>	20 (2)	A	\$ 10.3 (2)	2,278 (2)	D (3)	Â
Common Stock	09/30/2013	Â	L <u>(1)</u>	19 (2)	A	\$ 10.85 (2)	2,297 (2)	D (3)	Â
Common Stock	10/31/2013	Â	<u>L(1)</u>	18 (2)	A	\$ 11.4 (2)	2,315 <u>(2)</u>	D (3)	Â
Common Stock	11/29/2013	Â	<u>L(1)</u>	19 (2)	A	\$ 11.3 (2)	2,334 (2)	D (3)	Â
Common Stock	12/31/2013	Â	<u>L(1)</u>	17	A	\$ 12.2	2,351	D (4)	Â
Common Stock	Â	Â	Â	Â	Â	Â	1,135.808	D (5)	Â
Common Stock	Â	Â	Â	Â	Â	Â	1,982	D (6)	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(A) (D)

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

		(e.g., puts, o	calls, warrants, optic	ons, convertib	le securities	s)			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Underlying (Instr. 3 an	g Secur
						Date Exercisable	Expiration Date	Title	Am or Nur

of

Sha

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Common Stock Right to Buy	\$ 112 (2)	Â	Â	Â	Â	Â	12/21/2009	12/21/2014	Common Stock	30
Stock-Settled Stock Appreciation Right	\$ 133.6 (2)	Â	Â	Â	Â	Â	05/16/2011	05/16/2016	Common Stock	58
Stock-Settled Stock Appreciation Right	\$ 111.1 (2)	Â	Â	Â	Â	Â	04/02/2012	04/02/2017	Common Stock	1,2
Common Stock Right to Buy	\$ 11 <u>(2)</u>	Â	Â	Â	Â	Â	06/28/2014(7)	06/27/2023	Common Stock	2,4

Reporting Owners

Reporting Owner Name / Address		Relationships						
FB	Director	10% Owner	Officer	Other				
Frias Maria SEACOAST BANKING CORPORATION OF FLORIDA P. O. BOX 9012 STUART Â FLÂ 34995	Â	Â	EVP & Chief Risk Officer	Â				

Date

Signatures

Sharon Mehl as Power of Attorney for Maria
Frias

01/29/2014

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Automatic monthly purchase into the Company's Employee Stock Purchase Plan
- (2) All amounts adjusted to reflect effect of one-for-five reverse stock split effective 12/13/13.
- (3) Held in Employee Stock Purchase Plan
- (4) Held in Seacoast's Employee Stock Purchase Plan as of December 31, 2013
- (5) Represents share equivalents held in Company's Retirement Savings Plan as of December 31, 2013
- (6) Represents unvested time-based restricted stock award granted under Seacoast's 2008 Long-Term Incentive Plan on August 23, 2011 ("Grant Date"). These shares will vest in their entirety on August 23, 2016, as long as Ms. Frias remains employed by the Company.
- (7) Vests over 5 years at the rate of 20% on the first anniversary of the date of grant (06/28/2014) and then at the rate of 20% on each of the following four anniversaries thereafter, subject to continue employment.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3