Edgar Filing: ALICO INC - Form 4

ALICO INC Form 4											
November 2	1, 2013										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									OMB APPROVAL		
UNITED STATES SECURIT					nington, D.C. 20549					3235-0287	
Check th if no long subject to Section 1 Form 4 o	ger STATE 6. or									January 31, 2005 werage rs per 0.5	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							1				
(Print or Type I	Responses)										
734 Investors, LLC Sym				r Name and INC [AI	d Ticker or Tra	ding	5. Relationship of Reporting Person(s) to Issuer				
(Lost)	(First)	(Middle)		-	-			(Check all applicable)			
				f Earliest T Day/Year) 013	ransaction		- - t	XDirectorX10% Owner Officer (give titleOther (specify below)			
				Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person			
NEW YOR	K, NY 10022							X_ Form filed by M Person	Iore than One Re	eporting	
(City)	(State)	(Zip)	Tabl	e I - Non-l	Derivative Sec	urities	s Acqui	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year		n Date, if	3. Transactio Code (Instr. 8)	4. Securities a order Disposed of (Instr. 3, 4 an	of (\hat{D})	red (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
~				Code V	Amount		Price	(Instr. 3 and 4)			
Common Stock	11/19/2013			Р	3,705,457	А	\$ 37	3,705,457	D		
Common Stock	11/19/2013			Р	20,000	A	\$ 37	20,000	I	by George R. Brokaw	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: ALICO INC - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationsh	ips					
	Director	10% Owner	Officer	Other				
734 Investors, LLC 590 MADISON AVENUE 26TH FL NEW YORK, NY 10022	Х	Х						
734 Agriculture, LLC 590 MADISON AVENUE 26TH FL NEW YORK, NY 10022	Х	Х						
Signatures								
734 Investors, LLC Ken Smith as attorney-in-fact for REMY W. TRAFELET, AUTHORIZED PERSON 734 Agriculture, LLC Ken Smith as attorney-in-fact for REMY W. TRAFELET, MANAGER								
**Signature of Reporting Person								

Ken Smith as attorney-in-fact for REMY W. TRAFELET, MANAGER	11/21/2013

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

George R. Brokaw has entered into an agreement with 734 Investors, LLC to vote his shares as directed by 734 Investors, LLC. 734

(1) Investors, LLC disclaims beneficial ownership of the Company's Common Stock held by George R. Brokaw except to the extent of its pecuniary interest therein.

11/21/2013

Date

Date

Edgar Filing: ALICO INC - Form 4

Remarks:

734 Agriculture, LLC is the managing member of 734 Investors, LLC. 734 Agriculture, LLC disclaims beneficial ownership o

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.